

Matrix Algebras with Transpose or Symplectic Involution and their *-Polynomial Identities ¹

Angela Valenti

Abstract: We look at the theory of *-polynomial identities of the algebra of $n \times n$ matrices over a field. The representation theory of the hyperoctahedral group and of the general linear group are applied for a quantitative study of the theory in characteristic zero. We examine the problem of determining *-polynomial identities of minimal degree for symplectic and transpose involution and new *-polynomial identities of degree $2n - 1$ are constructed.

Key words: matrices, involution, polynomial identities.

Contents

| | | |
|----------|-------------------------------------------|-----|
| 1 | Generalities | 333 |
| 2 | S_n and GL -cocharacters | 335 |
| 3 | *-Polynomial identities of minimal degree | 337 |
| 4 | Transpose Involution | 339 |
| 5 | Symplectic Involution | 343 |

1 Generalities

Let F be a field of characteristic different from 2, $X = \{x_1, x_2, \dots\}$ a countable set of unknowns and $F\{X, *\} = F\{x_1, x_1^*, x_2, x_2^*, \dots\}$ the free algebra with involution $*$ over F . If R is an F -algebra with involution $*$, we shall consider only involutions such that $(\alpha a)^* = \alpha a^*$ for all $\alpha \in F, a \in R$. Recall that a polynomial $0 \neq f(x_1, x_1^*, \dots, x_m, x_m^*)$ in $F\{X, *\}$ is a *-polynomial identity (*-PI) for R if $f(r_1, r_1^*, \dots, r_m, r_m^*) = 0$ for all $r_1, \dots, r_m \in R$.

If one wants to study the *-PI's of an algebra R as a whole, then the right concept is that of *- T -ideal i. e., an ideal of the free algebra $F\{X, *\}$ invariant under all endomorphisms of $F\{X, *\}$ that commute with the involution $*$.

The connection between *- T -ideals and *-PI's is the following: if R is an F -algebra with involution,

$$T(R, *) = \{f(x_1, x_1^*, \dots, x_m, x_m^*) \in F\{X, *\} \mid f(x_1, x_1^*, \dots, x_m, x_m^*)\}$$

¹Research partially supported by MURST of Italy and FAPESP of Brazil.

AMS Classification: 16R50, 15A24.

is a \ast -PI for R }

is a \ast - T -ideal of $F\{X, \ast\}$. Moreover, if J is a \ast - T -ideal, $T(F\{X, \ast\}/J) = J$, so every \ast - T -ideal of the free algebra is of this type.

Let now $R = M_n(F)$, $n \geq 2$, be the algebra of $n \times n$ matrices over F . In $M_n(F)$ one can define several involutions; two of them play a very important role in the study of the \ast -PI's of $M_n(F)$: the transpose involution, denoted $\ast = t$, and the canonical symplectic involution, denoted $\ast = s$.

Recall that s is defined only in case $n = 2m$ is even and it is given by the rule: if $A \in M_n(F)$, let $A = \begin{pmatrix} B & C \\ D & E \end{pmatrix}$ where $B, C, D, E \in M_m(F)$ and set

$$A^s = \begin{pmatrix} E^t & -C^t \\ -D^t & B^t \end{pmatrix},$$

where t is the usual transpose.

Let us write $(M_n(F), \ast)$ for the ring of $n \times n$ matrices with the involution \ast . The importance of the above two involutions is given in the following ([17, Theorem 3.1.62])

Theorem 1.1 *Let F be an infinite field. If \ast is an involution in $M_n(F)$, then either $T((M_n(F), \ast)) = T((M_n(F), t))$ or $T((M_n(F), \ast)) = T((M_n(F), s))$.*

Let us now give few examples of \ast -PI's for $M_n(F)$, for small values of n . They can all be checked by direct computation. Clearly every polynomial identity for $M_n(F)$ is a \ast -polynomial identity for $M_n(F)$. Let $S_m(x_1, \dots, x_m)$ denote the standard polynomial of degree m .

Examples

1. $x_1 - x_1^* \in T((M_1(F), t))$.
2. $[x_1 - x_1^*, x_2 - x_2^*] \in T((M_2(F), t))$.
3. $[x_1 + x_1^*, x_2] \in T((M_2(F), s))$.
4. $[S_3(x_1 - x_1^*, x_2 - x_2^*, x_3 - x_3^*), x_4] \in T((M_3(F), t))$.
5. $[[x_1 + x_1^*, x_2 - x_2^*]^2, x_3] \in T((M_4(F), s))$.
6. $S_6(x_1 - x_1^*, \dots, x_6 - x_6^*) \in T((M_4(F), t))$.

The \ast -PI's of $(M_2(F), \ast)$, $\ast = s$ or t , of minimal degree are well known; moreover, in characteristic zero, Levchenko in [10] exhibited a basis for the \ast - T -ideals $T(M_2(F), s)$ and $T(M_2(F), t)$. The result is the following

Theorem 1.2 *Let $\text{char} F = 0$.*

1. The \ast -polynomials

$$\begin{aligned} & [(x - x^\ast)(y - y^\ast), z], \\ & [x - x^\ast, y - y^\ast], \\ & [x_1 + x_1^\ast, x_2 + x_2^\ast][x_3 + x_3^\ast, x_4 + x_4^\ast] + [x_2 + x_2^\ast, x_3 + x_3^\ast][x_1 + x_1^\ast, x_4 + x_4^\ast], \\ & \quad + [x_3 + x_3^\ast, x_1 + x_1^\ast][x_2 + x_2^\ast, x_4 + x_4^\ast], \\ & [x - x^\ast, y + y^\ast, z - z^\ast, t + t^\ast] - 4(x - x^\ast)(z - z^\ast)[t + t^\ast, y + y^\ast] \end{aligned}$$

are a set of generators for the \ast - T -ideal $T(M_2(F), t)$.

2. The \ast -polynomial

$$[x + x^\ast, y]$$

generates the \ast - T -ideal $T(M_2(F), s)$.

2 S_n and GL -cocharacters

Methods of representation theory of the hyperoctahedral group and of the general linear group have been introduced in [4] and [5] to study $T(R, \ast)$ in general. We will now sketch these methods.

Let H_n be the hyperoctahedral group of degree n . If $C_2 = \{1, \ast\}$ is the multiplicative group of order 2 and S_n is the symmetric group of degree n , then H_n is the wreath product $C_2^n \text{ wr } S_n$ and we write

$$H_n = \{(a_1, \dots, a_n; \sigma) \mid a_i \in C_2, \sigma \in S_n\}$$

with multiplication defined by

$$(a_1, \dots, a_n; \sigma)(b_1, \dots, b_n; \tau) = (a_1 b_{\sigma^{-1}(1)}, \dots, a_n b_{\sigma^{-1}(n)}; \sigma\tau).$$

We say that a \ast -polynomial $f(x_1, x_1^\ast, \dots, x_n, x_n^\ast)$ is multilinear if in every monomial of f , x_i or x_i^\ast , $i = 1, \dots, n$, appears exactly once. Then

$$V_n(\ast) = \text{Span}_F \{x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} \mid (a_1, \dots, a_n; \sigma) \in H_n\}$$

is the space of multilinear \ast -polynomials in $x_1, x_1^\ast, \dots, x_n, x_n^\ast$.

This space is strictly related to the group algebra of H_n ; in fact the map

$$V_n(\ast) \rightarrow FH_n$$

given by

$$\sum_{(a; \sigma) \in H_n} \alpha_{(a; \sigma)} x_{\sigma(1)}^{a_{\sigma^{-1}(1)}} \cdots x_{\sigma(n)}^{a_{\sigma^{-1}(n)}} \rightarrow \sum_{(a; \sigma) \in H_n} \alpha_{(a; \sigma)} (a_1, \dots, a_n; \sigma)$$

is an F -linear isomorphism of $V_n(*)$ onto FH_n . This map clearly induces a structure of S_n -module on $V_n(*)$. Let T be a $*T$ -ideal of $F\{X, *\}$. Then, under the above identification, $T_n = T \cap V_n(*)$ becomes a left ideal of FH_n .

Suppose $\text{char } F = 0$. Then every $*T$ -ideal is determined by its multilinear polynomials, hence to study T it is enough to study $\{T_n\}_{n \geq 1}$.

Actually it is more convenient to study the sequence of left H_n -modules $\{V_n(*)/T_n\}_{n \geq 1}$. Let us denote by $\chi_n(T, *)$ the H_n -character of $V_n(*)/T_n$ and let us call $\{\chi_n(T)\}_{n \geq 1}$ the sequence of H_n -cocharacters of T . Since every character $\chi_n(T)$ is a sum of irreducible H_n -characters, the problem of determining $\chi_n(T)$ is reduced to that of computing the multiplicities of each irreducible H_n -character in such decomposition.

In characteristic zero it is known that there exists a one-to-one correspondence between non-equivalent irreducible representations of H_n and pairs of partition (λ, μ) where λ is a partition of k , μ is a partition of $n - k$ and $k = 0, \dots, n$. We write briefly $|\lambda| + |\mu| = n$. So, let us denote by $\chi_{\lambda, \mu}$ the irreducible H_n -character associated to the pair (λ, μ) .

If T is a $*T$ -ideal of $*PI$'s of the algebra R , then we write $\chi_n(T) = \chi_n(R, *)$ and we have

$$\chi_n(R, *) = \sum_{|\lambda|+|\mu|=n} m_{\lambda, \mu} \chi_{\lambda, \mu}$$

where $m_{\lambda, \mu}$ is the multiplicity of $\chi_{\lambda, \mu}$ in the given decomposition.

If $\lambda = (\lambda_1, \dots, \lambda_r)$, $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n > 0$ is a partition of n , we call $r = h(\lambda)$ the height of λ ($h(\lambda)$ is the height of the corresponding Young diagram). We have

Theorem 2.1 ([4, Theorem 6.2]) *Let $r = \frac{k(k+1)}{2}$ and $u = \frac{k(k-1)}{2}$. Then*

$$\chi_n(M_k(F), t) = \sum_{\substack{|\lambda|+|\mu|=n \\ h(\lambda) \leq r \\ h(\mu) \leq u}} m_{\lambda, \mu} \chi_{\lambda, \mu}$$

and

$$\chi_n(M_k(F), s) = \sum_{\substack{|\lambda|+|\mu|=n \\ h(\lambda) \leq u \\ h(\mu) \leq r}} m_{\lambda, \mu} \chi_{\lambda, \mu}.$$

We now turn to a description of the finite-dimensional polynomial representations of $GL(n) \times GL(n)$. This is intimately connected to partition of $n - k$ and $k = 0, \dots, n$. We write briefly $|l_1, 2, \dots$ define $s_i = x_i + x_i^*$ and $k_i = x_i - x_i^*$; then, since $\text{char } F \neq 2$, $F\{X, *\} = F\{s_1, k_1, s_2, k_2, \dots\}$ has a natural multigrading obtained by counting the degrees in the symmetric variables s_i and in the skew variables k_i . For a fixed n , let U and V be n -dimensional vector spaces over F with bases $\{s_1, \dots, s_n\}$ and $\{k_1, \dots, k_n\}$ respectively. Let

$$W = (U \oplus V) \otimes \dots \otimes (U \oplus V) = (U \oplus V)^{\otimes n}.$$

W can be identified with the space of homogeneous $*$ -polynomials of degree n in the variables x_i and x_i^* . The group $GL(U) \times GL(V) \cong GL(n) \times GL(n)$ acts naturally on the space $U \oplus V$ and we extend this action diagonally to an action on W .

The representation theory of $GL(U) \times GL(V)$ acting on W is well known: there exists a one-to-one correspondence between irreducible non-equivalent polynomial representations of $GL(U) \times GL(V)$ and pairs of partitions (λ, μ) where λ is a partition of k , μ is a partition of $n - k$ and $k = 0, \dots, n$. So, let us denote by $\psi_{\lambda, \mu}$ the irreducible character of $GL(U) \times GL(V)$ associated to the pair (λ, μ) . Also, if M is a $GL(U) \times GL(V)$ -module, let us write $\psi(M)$ for the character of M .

If T is a $*$ - T -ideal, then $T \cap W$ is the space of homogeneous $*$ -polynomials of degree n in $T \cap F\{x_1, x_1^*, \dots, x_n, x_n^*\}$ and $T \cap W$ is a $GL(U) \times GL(V)$ -module. The $GL(U) \times GL(V)$ structure of $\frac{W}{T \cap W}$ and the H_n structure of $V_n(*)/T_n$ are related by the following result ([5, Theorem 3])

Theorem 2.2 *Let T be a $*$ - T -ideal of $F\{X, *\}$ and $\psi_n(T, *)$ the $GL(U) \times GL(V)$ -character of $\frac{W}{T \cap W}$. If*

$$\psi_n(T, *) = \sum_{|\lambda|+|\mu|=n} m_{\lambda, \mu} \psi_{\lambda, \mu}$$

and

$$\chi_n(T, *) = \sum_{|\lambda|+|\mu|=n} m'_{\lambda, \mu} \chi_{\lambda, \mu}$$

then $m_{\lambda, \mu} = m'_{\lambda, \mu}$.

A quantitative study of $T((M_2(F), t))$ and $T((M_2(F), s))$ in characteristic zero was done by Drensky and Giamb Bruno in [2]. They obtained among other things the exact values of the multiplicities in the cocharacter sequence of the $*$ -PI's for the 2×2 matrices with symplectic or transpose involution.

3 $*$ -Polynomial identities of minimal degree

In this section we want to discuss the following

Problem 3.1 *Find $*$ -polynomial identities of minimal degree satisfied by $M_n(F)$.*

The standard polynomial

$$S_m(x_1, \dots, x_m) = \sum_{\sigma \in S_m} (\text{sgn} \sigma) x_{\sigma(1)} \cdots x_{\sigma(m)}$$

plays a very important role in PI-theory.

Let $T(M_n(F))$ denote the T-ideal of ordinary identities (without involution) satisfied by $M_n(F)$. The Amitsur-Levitzki theorem shows that

$$T(M_1(F)) \supset T(M_2(F)) \supset T(M_3(F)) \supset \dots$$

is a properly descending chain of T-ideals whose intersection is zero and also gives the least degree of a polynomial satisfied by $M_n(F)$.

Theorem 3.2 (*Amitsur-Levitzki*) ([17, Theorem 1.4.1]) $S_{2n}(x_1, \dots, x_{2n})$ is a polynomial identity for $M_n(F)$ of minimal degree and any other multilinear polynomial identity of $M_n(F)$ of degree $2n$ is a scalar multiple of S_{2n} .

The original proof of Theorem 3.2 was a clever induction using matrix units but subsequently there have been several different proofs given by Kostant [11], based on the cohomology of $M_n(C)$ as a Lie algebra, by Swan [19], using graph theory, by Razmyslov [14] and Rosset [15] based on the Cayley-Hamilton Theorem.

The $*$ -PI's of $M_n(F)$ of minimal degree do not seem to be well understood. In fact, the smallest possible degree of a $*$ -PI for arbitrary n is not known. An easy argument shows that such degree must be at least n . The best lower bound is found in the following ([6, Theorem 1])

Theorem 3.3 *If f is a $*$ -PI for $(M_n(F), *)$ and $n > 2$, then $\deg(f) \geq n + 1$.*

It follows that, if f is a $*$ -PI for $(M_n(F), *)$ of minimal degree and $n > 2$ then

$$2n \geq \deg f > n.$$

By recalling that $F\{X, *\} = F\{s_1, k_1, s_2, k_2, \dots\}$ then equivalently one can consider only polynomials in symmetric and skew variables.

About positive results, in [11] Kostant proved that if n is even then $S_{2n-2}(k_1, \dots, k_{2n-2})$ is a $*$ -PI for $(M_n(F), t)$. Rowen in [16] extended this result to arbitrary n and later in [18] he also proved that $S_{2n-2}(s_1, \dots, s_{2n-2})$ is a $*$ -PI for $(M_n(F), s)$.

No $*$ -PI's of degree lower than $2n - 2$ are known for arbitrary n . Moreover, $2n - 2$ is not minimal for all n . In fact, for instance, if $* = s$, then $[x_1 + x_1^*, x_2 + x_2^*]$ is a $*$ -PI of minimal degree for $(M_2(F), s)$, and since $[s_1, s_2]^2, [s_1, k_2]^2$, when evaluated in $(M_4(F), s)$, take values in the center (see [3, pag 203]), it follows that $(M_4(F), s)$ has $*$ -PI's of degree 5. Moreover if $* = t$, Racine constructed $*$ -PI's of degree 5 for $M_4(F)$.

Definition 3.4 For $m, r \geq 0$ define $w_i = \begin{cases} s_i & \text{if } i = 1, \dots, m \\ k_i & \text{if } i = m+1, \dots, m+r \end{cases}$ and let

$$W_{m,r} = \text{Span}_F \{w_{\sigma(1)} \cdots w_{\sigma(m+r)} \mid \sigma \in S_{m+r}\}$$

be the space of multilinear polynomials in m symmetric variables and r skew variables.

The problem of determining the $*$ -PI's of minimal degree can be reformulated in the following two problems

Problem 3.5 For $m = 0, 1, \dots, n$, find the least r such that

$$W_{m,r} \cap T((M_n(F), *)) \neq 0$$

and exhibit a basis of this space.

Problem 3.6 For $r = 0, 1, \dots, n$, find the least m such that

$$W_{m,r} \cap T((M_n(F), *)) \neq 0$$

and exhibit a basis of this space.

4 Transpose Involution

If $*$ = t it is easy to show that $S_{2n}(s_1, \dots, s_{2n})$ is a $*$ -PI for $(M_n(F), t)$ of minimal degree among $*$ -PI's in symmetric variables.

It was shown in [12] by Ma and Racine that in general $*$ -PI's of minimal degree need not resemble standard polynomials. In fact, they constructed a multilinear polynomial $f_{2n}(s_1, \dots, s_{2n})$ such that any other homogeneous $*$ -PI in symmetric variables of degree $2n$ is a consequence of f_{2n} ($n \neq 3$) for large characteristic of the field.

Let $W_{\infty,1} = \bigcup_{m \geq 1} W_{m,1}$. In [7] it was constructed a polynomial in $W_{\infty,1} \cap T((M_n(F), t))$ of degree $2n - 1$ of minimal degree among all $*$ -PI's in one skew variable and the remaining symmetric variables; moreover it was shown that any other multilinear $*$ -PI in $W_{\infty,1} \cap T((M_n(F), t))$ of degree $2n - 1$ is a scalar multiple of this polynomial.

Definition 4.1 Let $r \geq 2$ and write $y = x_r$; if $1 \leq j \leq r$ define

$$P_r^{(j)}(x_1, \dots, x_{r-1}; y) = \sum_{\sigma \in S_{r-1}} (\text{sgn}\sigma) x_{\sigma(1)} \cdots x_{\sigma(j-1)} y x_{\sigma(j)} \cdots x_{\sigma(r-1)}$$

and, if $[\alpha]$ denotes the integral part of the real number α , define

$$P_r(x_1, \dots, x_{r-1}; y) = \sum_{i=1}^{[\frac{r+1}{2}]} (-1)^{i+1} P_r^{(2i-1)}(x_1, \dots, x_{r-1}; y).$$

Notice that if $r \equiv 3 \pmod{4}$, then we can also write, for $r = 4m - 1$,

$$P_{4m-1}(x_1, \dots, x_{4m-2}; y) = \sum_{i=0}^{m-1} P_{4m-1}^{(4i+1)}(x_1, \dots, x_{4m-2}; y) - \sum_{i=1}^m P_{4m-1}^{(4i-1)}(x_1, \dots, x_{4m-2}; y).$$

The result is the following

Theorem 4.2

1. $P_{2n-1}(s_1, \dots, s_{2n-2}; k_{2n-1})$ is a $*$ -PI for $(M_n(F), t)$.
2. If $f(s_1, \dots, s_{2n-2}, k_{2n-1})$ is a multilinear $*$ -PI for $(M_n(F), t)$ then $f = \alpha P_{2n-1}$ for some $\alpha \in F$.
3. If $f(k_1, s_2, \dots, s_l)$ is a $*$ -PI for $(M_n(F), t)$ such that $\deg_{k_1}(f) = 1$ then $\deg(f) \geq 2n - 1$.

The proof of this theorem is based on a technique of Razmyslov [14] used to give a proof of the Amitsur-Leviztki theorem and in the following remarks

Remark 4.3 If A is a symmetric $n \times n$ matrix under the symplectic involution, then $n = 2m$ and A satisfies a polynomial of degree m

$$p(x) = x^m - \mu_1 x^{m-1} + \mu_2 x^{m-2} - \dots + (-1)^m \mu_m$$

such that $p(x)^2$ is the characteristic polynomial of A .

In case $\text{char } F = 0$, it is possible to derive formulas, analogous to Newton's formulas, which allow to write the coefficients μ_i as polynomials in $\text{tr}(A), \text{tr}(A^2), \dots, \text{tr}(A^m)$ with rational coefficients; they are given inductively by the formulas

$$\mu_0 = 1, \quad 2i\mu_i = \sum_{j=1}^i (-1)^{j-1} \mu_{i-j} \text{tr}(A^j)$$

(see [17, pag 150]).

Remark 4.4 Let A be a commutative ring. If $U_1, \dots, U_{2l} \in M_n(A)$ then $\text{tr} S_{2l}(U_1, \dots, U_{2l}) = 0$.

This can be proved by noticing that if w_1, w_2 are two monomials in the U_i 's which are cyclic permutations of one another, then on one side $\text{tr}(w_1) = \text{tr}(w_2)$ and on the other side w_1 and w_2 have opposite signs in $S_{2l}(U_1, \dots, U_{2l})$.

Let us denote by $M_n^+(F)$ and $M_n^-(F)$ the spaces of symmetric and skew elements of $(M_n(F), *)$ respectively.

Proof of Theorem 4.2 We shall sketch the proof of the first and second part of the theorem.

Since P_{2n-1} has coefficients ± 1 , it is enough to prove the theorem in case $\text{char } F = 0$. Suppose first that $n = 2m$ is even. In this case if $C \in M_n^-(F)$ is invertible, then the map $\phi : A \rightarrow C^{-1} A^t C$ defines an involution of symplectic type on $M_n(F)$. If $B \in M_n(F)$, $B = -B^t$ then BC under this involution is a symmetric element. Thus, by Remark 4.3, BC satisfies a polynomial of degree m ; let

$$(BC)^m - \mu_1 (BC)^{m-1} + \dots + (-1)^m \mu_m = 0$$

where the μ_i s are polynomial expressions with rational coefficients in $tr(BC)$, $tr(BC)^2, \dots, tr(BC)^m$.

Now, by a Zariski density argument it follows that we may take B, C arbitrary elements in $M_n(F)^-$ under the transpose involution; also, if we multilinearize the above relation we get

$$\sum_{\sigma, \tau \in S_m} B_{\sigma(1)} C_{\tau(1)} B_{\sigma(2)} C_{\tau(2)} \cdots B_{\sigma(m)} C_{\tau(m)} + Q = 0 \tag{1}$$

where $B_1, C_1, \dots, B_m, C_m \in M_n^-(F)$ and Q is a linear combination of products of the form $B_{\sigma(i_1)} C_{\tau(i_1)} \cdots B_{\sigma(i_l)} C_{\tau(i_l)}$, with $1 \leq i_1 < i_2 < \dots < i_l \leq m$, $l < m$ with coefficients polynomials in traces of the form

$$tr(B_{\sigma(j_1)} C_{\tau(j_1)} \cdots B_{\sigma(j_r)} C_{\tau(j_r)}).$$

Take $A_1, \dots, A_{2n-2} \in M_n^+(F)$ and $B \in M_n^-(F)$; then $[A_i, A_j] = A_i A_j - A_j A_i \in M_n^-(F)$ and we make in (1) the substitutions

$$\begin{aligned} B_1 &= B, & C_1 &= [A_1, A_2], \\ B_2 &= [A_3, A_4], & C_2 &= [A_5, A_6], \\ &\vdots & &\vdots \\ B_m &= [A_{2n-5}, A_{2n-4}], & C_m &= [A_{2n-3}, A_{2n-2}]. \end{aligned}$$

We obtain from (1) a new equation and we write the left hand side as $f(A_1, \dots, A_{2n-2}, B)$, a polynomial with traces.

Now in (1) make the substitutions

$$\begin{aligned} B_1 &= [A_1, A_2], & C_1 &= B, \\ B_2 &= [A_3, A_4], & C_2 &= [A_5, A_6], \\ &\vdots & &\vdots \\ B_m &= [A_{2n-5}, A_{2n-4}], & C_m &= [A_{2n-3}, A_{2n-2}]; \end{aligned}$$

we obtain a new equation and let $g(A_1, \dots, A_{2n-2}, B)$ be the resulting left hand side.

Let \bar{f} and \bar{g} be the skewsymmetrizations of f and g respectively with respect to the variables A_1, \dots, A_{2n-2} ; it follows that

$$\begin{aligned} 0 &= \bar{f}(A_1, \dots, A_{2n-2}, B) - \bar{g}(A_1, \dots, A_{2n-2}, B) \\ &= 2^{m-1} P_{2n-1}(A_1, \dots, A_{2n-1}; B) \\ &\quad + \text{a linear combination of terms with } tr. \end{aligned}$$

Thus, in order to complete the proof of the theorem in case n is even, it is enough to prove that all the coefficients of the remaining terms (which involve traces) are zero.

Notice that, the traces in which B does not appear in \bar{f} and \bar{g} are of the form $\text{tr}(S_{2j}(A_{i_1}, \dots, A_{i_{2j}}))$ and this is zero by Remark 4.4. Also, one checks that in $\bar{f} - \bar{g}$ the traces involving B vanish too. This proves the theorem in case n is even.

In case n is odd, embed $M_n(F)$ in the upper left corner of $M_{n+1}(F)$ with induced transpose involution and write

$$\begin{aligned} P_{2(n+1)-1}(s_1, \dots, s_{2n}; k_{2n+1}) \\ = P_{2n-1}(s_1, \dots, s_{2n-2}; k_{2n+1})s_{2n-1}s_{2n} + h(s_1, \dots, s_{2n}, k_{2n+1}) \end{aligned}$$

where no monomial of $h(s_1, \dots, s_{2n}, k_{2n+1})$ ends with $s_{2n-1}s_{2n}$. Now take $j_0 \in \{1, \dots, n\}$. Then $e_{j_0 n+1} + e_{n+1 j_0}$, $e_{n+1 n+1} \in M_n^+(F)$ and let $A_1, \dots, A_{2n-2} \in M_n^+(F)$, $B \in M_n^-(F)$. Since $n+1$ is even, by the first part of the proof, $P_{2(n+1)-1}(s_1, \dots, s_{2n}; k_{2n+1})$ is a $*$ -PI for $(M_{n+1}(F), t)$; thus

$$\begin{aligned} 0 &= P_{2(n+1)-1}(A_1, \dots, A_{2n-2}, e_{j_0 n+1} + e_{n+1 j_0}, e_{n+1 n+1}; B)e_{n+1 n+1} \\ &= P_{2n-1}(A_1, \dots, A_{2n-2}; B)(e_{j_0 n+1} + e_{n+1 j_0})e_{n+1 n+1} \\ &\quad + h(A_1, \dots, A_{2n-2}, e_{j_0 n+1} + e_{n+1 j_0}, e_{n+1 n+1}, B)e_{n+1 n+1} \\ &= P_{2n-1}(A_1, \dots, A_{2n-2}; B)e_{j_0 n+1}. \end{aligned}$$

Since j_0 is arbitrary in $\{1, \dots, n\}$, we get $P_{2n-1}(A_1, \dots, A_{2n-2}; B) = 0$ for all $A_1, \dots, A_{2n-2} \in M_n^+(F)$ and $B \in M_n^-(F)$ and we are done.

To prove the second part of the theorem, one proves by induction on n that if $f(s_1, \dots, s_{2n-2}, k)$ is a $*$ -PI for $M_n(F), t$ then

$$\begin{aligned} f(s_1, \dots, s_{2n-2}, k) \\ = \alpha \sum_{\substack{i,j=1 \\ i < j}}^{2n-2} (-1)^{i+j} [s_i, s_j] P_{2n-3}(s_1, \dots, \hat{s}_i, \dots, \hat{s}_j, \dots, s_{2n-2}; k) \\ + k \cdot h(s_1, \dots, s_{2n-2}) \end{aligned}$$

for some $\alpha \in F$.

Now, an easy calculation shows that in general

$$\begin{aligned} P_{2n-1}(s_1, \dots, s_{2n-2}; k) \\ = \sum_{\substack{i,j=1 \\ i < j}}^{2n-2} (-1)^{i+j} [s_i, s_j] P_{2n-3}(s_1, \dots, \hat{s}_i, \dots, \hat{s}_j, \dots, s_{2n-2}; k) \\ + k \cdot S_{2n-2}(s_1, \dots, s_{2n-2}). \end{aligned}$$

Thus, since P_{2n-1} and f are $*$ -PI's for $(M_n(F), t)$ also

$$f - \alpha P_{2n-1} = k \cdot (h(s_1, \dots, s_{2n-2}) - \alpha S_{2n-2}(s_1, \dots, s_{2n-2}))$$

vanishes in $(M_n(F), t)$.

Let \overline{K} denote the subring generated by the skew elements of $M_n(F)$; then, since $n > 2$, by [8, Theorem 2.1.10], $\overline{K} = M_n(F)$. It follows that for all $A_1, \dots, A_{2n-2} \in M_n^+(F)$,

$$M_n(F) \cdot (h(A_1, \dots, A_{2n-2}) - \alpha S_{2n-2}(A_1, \dots, A_{2n-2})) = 0.$$

Therefore, being $M_n(F)$ a prime ring,

$$h(s_1, \dots, s_{2n-2}) - \alpha S_{2n-2}(s_1, \dots, s_{2n-2})$$

must vanish in $M_n^+(F)$. Since $(M_n(F), t)$ satisfies no $*$ -PI's in symmetric variables of degree lower than $2n$, it follows that $h = \alpha S_{2n-2}$ and we are done. \square

Having solved problem 3.5 for the case $W_{m,1}$, it is natural to try to solve problem 3.5 for $W_{m,2}$. There is no existence theorem proved in this case. Nevertheless the following result holds ([7, Proposition 3])

Theorem 4.5 *Let $f(k_1, k_2, s_3, \dots, s_r)$ be a $*$ -PI for $(M_n(F), t)$, $n > 2$, such that $\deg_{k_1}(f) = \deg_{k_2}(f) = 1$. Then $\deg(f) \geq 2n - 1$.*

5 Symplectic Involution

In this section we study the space of multilinear $*$ -PI's of $(M_n(F), s)$. Let us consider $*$ -PI's of minimal degree for $(M_n(F), s)$ in symmetric variables. The polynomials $[s_1, s_2]$ and $[[s_1, s_2]^2, s_3]$ are $*$ -PI's of minimal degree for $n = 2$ and $n = 4$ respectively. For $n > 4$ the best known lower bound is found in ([1, Theorem 2.4])

Theorem 5.1 *If $\text{char } F = 0$, $(M_n(F), s)$ does not satisfy $*$ -PI's in symmetric variables of degree $n + 1$ for any $n > 4$.*

The proof is based on the following idea. If $n = 2m$, then every $*$ -PI in symmetric variables for $(M_n(F), s)$ is an ordinary polynomial identity for the $m \times m$ matrix algebra $M_m(F)$. The polynomial identities of $M_m(F)$ of degree $m + 1$ have been described by Leron [9]. It turns out that they all follow from the standard identity $S_{2m}(x_1, \dots, x_{2m})$. Hence it suffices to show that no multilinear consequence of degree $n + 1 = 2m + 1$ of $S_{2m}(x_1, \dots, x_{2m})$ vanishes on the symmetric elements from $(M_n(F), s)$.

Inspired by this result is the following

Theorem 5.2 ([13, Theorem 3.1]) *If $\text{char } F = 0$, $(M_6(F), s)$ does not satisfy $*$ -PI's in symmetric variables of degree 8.*

Let now consider $*$ -PI's in skew variables. What is the minimal possible degree of such a polynomial identity? For $n = 2, 4$ the answer is as follows: $[k_1^2, k_2]$ is a $*$ -PI in skew variables for $(M_2(F), s)$ of minimal degree; also, since $[s_1, k_2]^2$, when evaluated in $(M_4(F), s)$, takes values in the center (see [3, pag 203]), it follows that $[[k_1^2, k_2]^2, k_3]$ is a $*$ -PI for $(M_4(F), s)$. It is also easy to see that this polynomial is of minimal degree among $*$ -PI's in skew variables.

In the general case one could conjecture that if f is a $*$ -PI for $(M_n(F), s)$ in skew variables of minimal degree, then $\deg(f) = 2n - 1$. In [7] the authors constructed, for every n , a $*$ -PI in skew variables of degree $2n - 1$.

Definition 5.3 Let $r > 2$ and write $y = x_r$. Define the polynomial

$$T_r(x_1, \dots, x_{r-1}; y) = \sum_{i=1}^{\lfloor \frac{r+1}{2} \rfloor} P_r^{(2i-1)}(x_1, \dots, x_{r-1}; y) + 2 \sum_{i=1}^{\lfloor \frac{r+3}{4} \rfloor} P_r^{(4i-2)}(x_1, \dots, x_{r-1}; y).$$

Notice that in T_r the variable y never appears in the $4i$ position, $i = 1, \dots, \lfloor r/4 \rfloor$.

Using the technique of Theorem 4.2 one can prove (see [7]) the following

Theorem 5.4 $T_{2n-1}(k_1, \dots, k_{2n-2}; k_{2n-1})$ is a $*$ -PI for $(M_n(F), s)$.

Unfortunately we do not know if T_{2n-1} is of minimal degree among $*$ -PI's for $(M_n(F), s)$ in skew variables. The known best bound is stated in the following

Theorem 5.5 If $f(k_1, \dots, k_r)$ is a $*$ -PI for $(M_n(F), s)$, $n > 2$, then $\deg(f) > n + n/2$.

References

- [1] V. S. Drensky and A. Giambruno, On the $*$ -polynomial identities of minimal degree for matrices with involution, *Boll. Un. Mat. It.* (7) 9-A (1995), 471-482.
- [2] V. S. Drensky and A. Giambruno, Cocharacters, codimensions and Hilbert series of the polynomial identities for 2×2 matrices with involution, *Canad. J. Math.* 46 (1994), 718-733.
- [3] A. Giambruno, Algebraic conditions on rings with involution, *J. Algebra* 50 (1978), 190-212.
- [4] A. Giambruno and A. Regev, Wreath products and *PI*-algebras, *J. Pure Applied Algebra* 35 (1985), 133-149.

- [5] A. Giambruno, $GL \times GL$ - representations and $*$ -polynomial identities, *Comm. Algebra* 14 (1986), 787-796.
- [6] A. Giambruno, On $*$ -polynomial identities for $n \times n$ matrices, *J. Algebra* 133 (1990), 433-438.
- [7] A. Giambruno and A. Valenti, On minimal $*$ -identities of matrices, *Linear and Multilinear Algebra*, 39 (1995), 309-323.
- [8] I. N. Herstein, *Rings with Involution*, U. of Chicago Press, Chicago, 1976.
- [9] U. Leron, Multilinear identities of the matrix ring, *Trans. Amer. Math. Soc.* 183 (1973), 175-202.
- [10] D. V. Levchenko, Finite basis of identities with involution for the second order matrix algebra, *Serdica* 8 (1982), 42-56 (Russian).
- [11] B. Kostant, A theorem of Frobenius, a theorem of Amitsur-Levitzki and cohomology theory, *Indiana J. Math. (and Mech.)* 7 (1958), 237-264.
- [12] Ma Wenxin and M. L. Racine, Minimal identities of symmetric matrices, *Trans. Amer. Math. Soc.* 320 (1990), 171-192.
- [13] T. G. Rashkova, On the minimal degree of the $*$ -polynomial Identities for the matrix algebra of order 6 with symplectic involution, *Rend. Circ. Mat. Palermo* (to appear).
- [14] Y. P. Razmyslov, Trace identities of full matrix algebras over a field of characteristic zero, *Math USSR Izv.* 8 (1974), 727-760.
- [15] S. Rosset, A new proof of the Amitsur-Levitski identity, *Israel J. Math.* 23 (1976), 187-188.
- [16] L. H. Rowen, Standard polynomials in matrix algebras, *Trans. Amer. Math. Soc.* 190 (1974), 253-284.
- [17] L. Rowen, "Polynomial Identities in Ring Theory", Academic Press, New York, 1980.
- [18] L. H. Rowen, A simple proof of Kostant's theorem, and an analogue for the symplectic involution, *Contemp. Math.*, vol. 13, Amer. Math. Soc., Providence, R. I., 1982, pp. 207-215.
- [19] R. Swan, An application of graph theory to algebra, *Proc. Amer. Math. Soc.* 14 (1963) 367-373.

Angela Valenti
Dipartimento di Matematica
Università di Palermo
Via Archirafi 34
90123 Palermo
avalenti@ipamat.math.unipa.it
Italy