Validation of the process criteria for assessment of a hospital nursing service<sup>1</sup>

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Objective: to validate an instrument containing process criteria for assessment of a hospital nursing service based on the National Accreditation Organization program. Method: a descriptive, quantitative methodological study performed in stages. An instrument constructed with 69 process criteria was assessed by 49 nurses from accredited hospitals in 2009, according to a Likert scale, and validated by 16 judges through Delphi rounds in 2010. Result: the original instrument assessed by nurses with 69 process criteria was judged by the degree of importance, and changed to 39 criteria. In the first Delphi round, the 39 criteria reached consensus among the 19 judges, with a medium reliability by Cronbach's alpha. In the second round, 40 converging criteria were validated by 16 judges, with high reliability. The criteria addressed management, costs, teaching, education, indicators, protocols, human resources, communication, among others. Conclusion: the 40 process criteria formed a validated instrument to assess the hospital nursing service which, when measured, can better direct interventions by nurses in reaching and strengthening outcomes.

Descriptors: Nursing Evaluation Research; Quality Assurance, Health Care; Outcome and Process Assessment (Health Care); Evaluation Studies; Accreditation; Safety Management.

<sup>&</sup>lt;sup>1</sup> Paper extracted from doctoral dissertation "Validação dos critérios de avaliação do serviço de enfermagem no programa de acreditação", presented to Escola Paulista de Enfermagem, Universidade Federal de São Paulo, SP, Brazil.

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### Introduction

Assessment is a management function which aims to assist the administrative process of decision-making, in order to make it as rational and effective as possible<sup>(1)</sup>. It has been a constant activity in professional practice, especially in the health area by nurses.

While measurement is basically a descriptive process, as it consists in quantitatively describing a phenomenon, assessment is an interpretative process, as it consists in a judgment based on standards, criteria, instruments, purposes and others<sup>(2)</sup>.

Therefore, what makes an assessment scientific is the effort to verify observations and validate their unique or diverse meaning<sup>(3)</sup>, revealing the causal and compatibility relationship among the service actions, specificity and results. Therefore, measuring quality and quantity of programs, services and health systems is vital for planning, organizing and controlling activities, the target of the assessment being the structure, process and outcomes, in addition to the influences and consequences of the environment<sup>(4-5)</sup>.

The model based on the analysis of structure, process and outcome has been widespread, although some authors criticize the limitation of this triad. They claim that, when it comes to analyzing health policies with specific features and configurations, e.g. aspects of direct care service or clinical treatment, those might not be sustained by using a single rationality. To do so, they propose the composition of more than one method of assessment of clinical services or user satisfaction would be used along with the quality assessment of the health service suggested by Donabedian<sup>(7)</sup>.

In that sense, assessment by accreditation stands out because it is associated with the possibility and need for interventions capable of modifying certain sanitary situations such as verifying difficulties and facilities, identifying vulnerabilities, seeking better solutions, changing care and political processes to meet the health/population needs. It refers to the discussion about the characteristics of assessment and its effects<sup>(8)</sup>, in order to establish higher standards of quality and safety.

Thus, assessment by accreditation, both in the United States since the mid-1950s, and in Brazil in the 1990s, among other countries, has become a universal phenomenon<sup>(5)</sup>, whose essence is to ensure the survival of healthcare organizations, considering the financial burden resulting from inadequate management,

professional errors, the differences among services provided, as well as advances in informatics, production, dissemination of technical and scientific knowledge and the search for innovative strategies in order to improve quality, satisfaction and patient safety at higher levels<sup>(9-10)</sup>.

Therefore, assessment for accreditation of quality in Brazil has grown, and thus, consolidated safer and more effective practices in patient care. In the universe of around 7,500 hospitals, 304 (4.05%) had achieved accreditation by 2012 according to the model proposed by the National Accreditation Organization-ONA<sup>(8)</sup>.

It is a desire to contribute so that all aspects, simple and complex, are included in an instrument for assessment of the nursing service, such as the issue of security, competence, risk management, academia and practice, costs, among others; that which is essential, since only some aspects have been mentioned in the textbooks in use, such as whether the information service has a responsible technician, whether there are records in the chart, whether there are updated routines, whether it has a care model, and evidence of improvement cycles.

The aim was to validate an instrument containing process criteria for assessment of the hospital nursing service (NS), based on the ONA Accreditation program.

### Method

This was a descriptive, quantitative, methodological approach, developed in the following stages: 1. Construction of the instrument based on Avedis Donabedian<sup>(11)</sup>, 2. Assessment of the instrument by nurses from accredited hospitals in Brazil, and 3. Validation of the instrument with judges.

The construction of the instrument for assessment was based on the guidelines of the Professional Organization Section and, specifically, on the Nursing subsection of the ONA Accreditation program<sup>(12)</sup>, version 2006, and on the attribute process of Avedis Donabedian<sup>(7)</sup>. The criteria were added and amplified by the current literature and experience of the researchers.

In the second stage, the population consisted of 113 nursing managers from all of the hospitals accredited by March of 2009, in Brazil. Telephone contacts were made to explain the research, the instrument for assessment was sent with an explanatory script and an Informed Consent Form (ICF). A five-point Likert scale was used for judgment of the importance of each criterion<sup>(13)</sup>.

Forty-nine instruments assessed by nurse managers, containing 69 process criteria, were returned. These managers were recruited as practical judges, since they were the ones using the criteria applied in the hospitals to achieve accreditation. A 75% cutoff for the assessment of a criteria as "important" and "very important" by nurses was established.

In the third stage, 27 nurse judges were consulted as experts in order to validate the criteria according to the Delphi Technique<sup>(14)</sup>. The panel of judges was composed considering the theoretical and practical domains, or as indicated by their peers. The ICF, explanatory script and instrument were sent via email, mail or personally delivered. Out of these, 19 (70.3%) judges comprised the first Delphi round.

In the second Delphi round, 16 instruments were returned by January of 2010, when the process was completed due to achievement of consensus.

Data were tabulated in Excel® spreadsheets, analyzed and interpreted<sup>(15)</sup>. Cronbach's alpha was used for reliability analysis with a significance level of 5%<sup>(15)</sup>.

The study respected the guidelines of Resolution 196/96 by the National Health Council. The project

was approved by UNIFESP Research Ethics Committee #1195/06.

#### Results

The instruments and responses were coded in order of receipt.

Out of the 49 nurse managers of the NS, female gender prevailed (45=91.8%), aged 41-50 years (36.7%), most (18=36.7%) graduated between 1990 and 1997. The level of specialization was 91.8% (45), predominantly in the hospital administration area (30=66.6%), seven (14.2%) completed the master's degree and two (4.0%) completed the doctorate degree. Out of the 49 accredited hospitals, private ones predominated (34=69.3%), followed by public ones (9=18.3%), 18 (36.7%) of which had 201-300 beds and only seven (14.3%) of which were classified as specialized.

The suggestions of the nurses managers regarding the modification of criteria proposed, such as phrase changes, inclusion, exclusion or modification of the criteria were incorporated. Table 1 shows the process criteria and the importance attributed by nurses.

Table 1 - Distribution of the importance of process criteria for assessment of the nursing service judged by nurses. São Paulo, SP, Brazil, 2010

	Not important		A little important		Relative importance		Important			/ery oortant
	n	%	n	%	n	%	n	%	n	%
The Standard Operating Procedures (SOPs) and/ or Normative Instructions (NIs) are updated all over the institution							10	20.4	39	79.6
2 - Nursing SOPs and/or NIs are distributed among workers							10	20.4	39	79.6
3 - There is a formal description of SOPs, NIs and/or nursing protocols in the Nursing Manual							6	12.2	43	87.8
4 - There is a Nursing Manual available and easily accessible for consultation by the team.							8	16.3	41	83.7
5 - Nursing SOPs and/or NIs are validated by the quality area					4	8.3	15	31.3	29	60.4
6 - Nursing SOPs and/or NIs are periodically reviewed							13	26.5	36	73.5
7 - The assessment phase of the Nursing Process is applied							11	22.4	38	77.6
8 - The diagnostic phase of the Nursing Process is applied					2	4.2	17	35.4	29	60.4
9 - The care planning phase of the Nursing Process is applied					1	2.0	10	20.4	38	77.6
10 - The evaluation phase of the Nursing Process is applied							10	20.4	39	79.6
11 - The phases or model of the Nursing Process are applied in critical areas such as the ICU							7	14.3	42	85.7
12 - The phases or model of the Nursing Process are applied all over the institution					4	8.2	16	32.7	29	59.2

(continue...)

Table 1 - (continuation)

13 - Information from the Nursing Process is used by the multidisciplinary team  14		Not important			little portant		Relative importance		oortant		/ery oortant
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1						4	8.2	12	24.5	33	67.3
16 - Nurses are responsible for their own practice and care coordination   2   42   10   20 8   36   71	from the Nursing Process in the prescription of other					7	14.3	21	42.9	21	42.9
17 - Nursing administration and management is visible in the institution in the instituti						2	4.3	10	21.3	35	74.5
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20	and acquisition of hospital technology (equipment) for			1	2.0	2	4.1	13	26.5	33	67.3
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(continue...)

Table 1 - (continuation)

	Not important			little ortant		Relative importance		Important		Very portant
-	n	%	n	%	n	%	n	%	n	%
38 - The day/night cycle of inpatients is preserved by nursing					2	4.2	21	43.8	25	52.1
39 - There is evidence that communication is effective in nursing					2	4.2	15	31.3	31	64.6
40 - Nurses know the most prevalent nosocomial profile in the institution					5	10.4	21	43.8	22	45.8
41 - The institution has (multidisciplinary) clinical protocols used by nursing based on evidence and on the nosocomial profile			1	2.1	3	6.3	13	27.1	31	64.6
42 - Newborns are distributed according to severity by nursing.					2	4.3	10	21.7	34	73.9
43 - The nurse who is member of the hospital risk management committee or group participates in decision making					1	2.1	15	31.9	31	66.0
44 - There is a system implemented for the management of adverse events	1	2.1			1	2.1	6	12.8	39	83.0
45 - Nursing implements actions against adverse events					2	4.2	6	12.5	40	83.3
46 - Nursing counts on safety and/or controlled access and/or continuous surveillance in Pediatrics, Neonatal and Psychiatry areas			1	2.1	4	8.3	14	29.2	29	60.4
47 - There is a system implemented for the management of infection risks					1	2.1	8	16.7	39	81.3
48 - Nursing acts in partnership with the Commission for Infection Control in epidemiological surveillance of infections							9	18.4	40	81.6
49 - Nursing implements action against infection- related adverse events					1	2.0	11	22.4	37	75.5
50 - Nursing implements action against adverse events with blood components					2	4.1	13	26.5	34	69.4
51 - Nursing implements action against adverse events with equipment					5	10.2	15	30.6	29	59.2
52 - Nurses control psychotropic drugs in the nursing unit					3	6.3	11	22.9	34	70.8
53 - Nursing implements action against adverse events with drugs					3	6.1	8	16.3	38	77.6
54 - There is validation by nursing related to sterilization processes in the Material and Central Sterilization							5	10.2	44	89.8
55 - There is a thermometer for temperature and humidity measurement by nursing in the arsenal of the Material and Central Sterilization by nursing					2	4.1	8	16.3	39	79.6
56 - Nursing implements action in the absence of any instrument after surgery in the operating room					1	2.0	15	30.6	33	67.3
57 - There is a system implemented for the management of environmental risks in the institution			1	2.1	4	8.5	23	48.9	19	40.4
58 - The pieces in the institution are comprehensively identified and its outflow is registered and controlled by nursing					1	2.0	9	18.4	39	79.6
59 - Nursing follows the destiny of body parts to the environment, with other areas	1	2.1	2	4.2	14	29.2	19	39.6	12	25.0
60 - Nursing implements actions against adverse events with waste					3	6.1	6	12.2	40	81.6
61 - There is an institutional system implemented for the management of civil nursing responsibility risks	1	2.1			2	4.3	16	34.0	28	59.6

(continue...)

Table 1 - (continuation)

	Not important			little ortant		elative ortance	Important		Very importan	
	n	%	n	%	n	%	n	%	n	%
62 - There is a contingency plan for unforeseen or unexpected events in Nursing	1	2.1			6	12.8	18	38.3	22	46.8
63 - Nursing implements action when there are contingent events	2	4.1			1	2.0	17	34.7	29	59.2
64 - In the refrigerators of the nursing unit, there is complete identification of what is stored	1	2.1			1	2.1	9	18.8	37	77.1
65 - There is systematic control of the refrigerator temperature in the nursing units					1	2.1	10	20.8	37	77.1
66 - There is a systematic routine of cleaning and nygiene of refrigerators used by nursing					4	8.7	15	32.6	27	58.7
67 - Nursing management receives and analyzes customer assessments					1	2.0	14	28.6	34	69.4
68 - Nursing management uses customer assessments to propose improvements in processes					1	2.1	14	29.2	33	68.8
69 - There is articulation between the Hospital and the Nursing School or College evidenced in care	2	4.3	1	2.1	9	19.1	22	46.8	13	27.7

In the process attribute, out of the 69 criteria, 55 were judged as being of "relative importance" to "very important", with criteria 3, 11, 22, 25, 36 and 54 standing out with a percentage higher than 85%. Criterion 57 obtained a higher percent for "important" (48.9%). Only criteria 59 and 69 were judged within across all five alternatives.

There were divergent responses from some nurses when they did not consider criteria 57, 59 and 69 "very important". In addition, they proposed criteria changes, suggesting to move them to the structure or outcome scale. It was also suggested to group the risk management criteria and to exclude some. Even so, the value of Cronbach's alpha was high (0.971)

indicating a quite consistent instrument with medium variability.

After this phase, the instrument for assessment of the nursing service assessed by the nurses decreased from 69 to 39 process criteria.

In the first Delphi round, 19 judges assessed the instrument composed by 39 criteria.

In the group of judges the female gender (94.1%) stood out, 51-60 years old (47%), with experience in service management (82.3%), experience in care services (78.6%), work focus on nursing administration (76.5%) and specialization or master's degree (35.3%). Figure 1 shows the process criteria as judged in the first and second Delphi rounds.

	Judges		Judges		Judges		Judges		Judges		Durana Critaria for Assessment of the Number Comite
	DT 1	DT2	Process Criteria for Assessment of the Nursing Service								
1	Х		The nurse manager is autonomous to make decisions about the work processes								
2	Х		Nursing administration and management is visible in the institution								
3		Х	There is active participation (involvement and commitment) of the nurse manager and nurse leaders in nursing administration (e.g. shared leadership)								
4			Nursing administration or management is available. Available "to what is accessible, easy to reach, of reasonable value, sociable, communicative".								
5	х		There is a program/policy to contemplate proactive actions of the workers in quality and safety processes with awards/incentives/other benefits.								
6			The institution has (multidisciplinary/interdisciplinary) clinical protocols used by nursing based on evidence and on the nosocomial profile.								
7	Х		There is at least one nursing work group to improve processes and institutional interaction.								
8	Х		Nurses participate in interdisciplinary commissions/working groups/ committees in the institution.								
9			The care processes that involve nursing are often analyzed and improved, and/or when there is procedure change.								

(The Figure 1 continue in the next page...)

	Judges DT 1 DT2									
			Process Criteria for Assessment of the Nursing Service							
10		Х	The Standard Operating Procedures (SOPs) and/or Normative Instructions (NIs) are updated, available, distributed among workers and applied all over the institution.							
11		х	SOPs and/or NIs follow a standardized institutional model aiming to establish multidisciplinary interfaces, and are periodically updated/validated by a competent area and/or whenever needed.							
12			There is a Nursing Manual (printed or electronic with the formal description of the procedures) available and easily accessible for consultation by the team.							
13		Х	The Nursing Process phases of assessment, diagnosis, care planning and evaluation are applied and/or a model, checklist, standardized protocol is adopted.							
14	Х	Х	There is evidence of the utilization of information from the Nursing Process/protocols/checklist in the prescription of other professionals.							
15	Х		The nursing team is aware of who its customers and suppliers are.							
16		Х	Nurses use indicators to measure the quality of care (e.g. the monthly index of hospital infection is utilized by nursing as an indicator of quality)							
17			The indicators of quality of nursing care are used to outline action plans and improvement of processes.							
18		Х	The critical analysis and improvement of processes (e.g. noncompliance, notification of adverse events and failure prevention) identified by nursing are treated in formal meetings with the multiprofessional group, with a deadline.							
19	Х		Nurses contribute to, participate in and/or act in control of psychotropic drugs in the unit, with the pharmacist.							
20		Х	Nurses contribute to and participate in the multiprofessional hospital commission/group/committee for risk management/safety by acting in decision-making, control, assessment and risk monitoring, adverse, contingency and sentinel events.							
21		Х	Nursing contributes to, participates in and/or acts in environmental risk and waste management in a multidisciplinary way (e.g., building reform, oxygen leak, accident with a glass ampoule)							
22	Х	Х	Nurses contribute to, participate in and/or act in civil responsibility for risk management, in a multidisciplinary way with the legal area.							
23	Х	Х	There is a contingency plan for unforeseen or unexpected events (e.g., a patient is shot (gunfire) in the bed by a visitor in the Emergency Room).							
24	Х	Х	Newborns, children and/or adults are divided/separated/grouped according to the severity/specificity of the case, and/or need of the patient, verified by nursing during the care process.							
25	Х	Х	There is validation and control by nursing related to sterilization processes in Materials and Sterilization.							
26		Х	Nursing comprehensively identifies the body parts (organs, biopsies, pathologic anatomy, amputated limbs), controls and delivers the material, registers output, and knows the flow for referral with other areas involved in the process.							
27	Х		Nursing knows, participates in and/or controls the flow and destination of the body parts into the environment (landfill, incineration and morgue), with other areas involved in this process.							
28	Х		There is a protocol/procedure to comprehensively identify what is stored in refrigerators with multidisciplinary control of this process.							
29	Х	Х	There is systematic and continuous control, registering and monitoring of refrigerator cleaning/hygiene and temperature, and its functionality is verified by areas sharing this process.							
30	Х		There is articulation between the Hospital and the Nursing School or College evidenced in care by students.							
31	Х		The participation of the nursing team is encouraged to be proactive and to assess their results.							
32	Х	Х	There is a systematic and periodic program for update and improvement for the nursing team.							
33	Х		The nurse manager has the autonomy to hire and/or fire the nursing worker.							
34			There is a formal program to integrate the new worker (nursing professional) into the institutional service.							
35	Х		Nurses contribute to, participate in and/or act in the information/communication system on inpatient data (through institutional procedure/ protocol) with other professionals.							
36	Х		There is evidence that written and verbal communication is effective in nursing.							
37			Nursing receives information regarding institutional conduct and/or orientations from other sectors, such as Commission for Infection Control, supplies, nutrition and other applicable areas.							
38	Х	Х	The assessments of clients/users/patients (sent by the Consumer Support Service/internal affairs/other) follow the institutional standards and are used by management to improve nursing care.							
39	Х		The client receives feedback on his assessment and/or manifestations.							
40		Х	The cost of nursing care (or care cost indicator) is measured and has institutional impacts.							

Judges DT1 = Judges that assessed process criteria in the first Delphi round Judges DT2 = Judges that assessed process criteria in the second Delphi round

Figure 1 - Process Criteria assessed by judges in the first and second Delphi rounds for assessment of the nursing service. São Paulo, SP, Brazil, 2010

Out of the 39 (100%) criteria, 23 (58.97%) were judged between "not important" and "relative importance", that is, the judges' opinions on the importance of criteria 1, 2, 5, 7, 8, 14, 15, 19, 22, 23, 24, 25, 27, 28, 29, 30, 31, 32, 33, 35, 36, 38 and 39 were diverse, whereas the others reached 100% agreement in the degree of importance of "important" and "very important".

Criterion 19 reached an importance of 73.4% (n=14) between "important" and "very important" in the judges' opinion in the first round. All other criteria achieved at least 78.9%, to a maximum of 100%. Thus, the value of Cronbach's alpha was relatively high ( $\alpha$ =0.630), with little variability.

In the second Delphi round, 18 (100%) criteria were judged: 3, 10, 11, 13, 14, 16, 18, 20, 21, 22, 23, 24, 25, 26, 29, 32, 38 and 40. Out of those, 16 (88.8%) received 100% consensus by 16 judges. Only criteria 14 (93.8%) and 23 (87.5%) achieved the lowest agreement. The inclusion of a criterion on costs was proposed: "The cost of nursing care, or indicator of care cost is measured and has institutional impact" and, when judged, had 100% convergence in the opinion "important" and "very important".

The judges' consensus of the 40 criteria in the second Delphi round for assessment of the NS reached at least 87.5%, with high Cronbach's alpha ( $\alpha$ =0.970) and minimal variability.

#### **Discussion**

The 49 nurse managers from accredited hospitals assessed the degree of importance of 69 process criteria for assessment of the NS. Out of those, 55 (79.7%) stood out with percentage of higher than 85%, criteria 3, 11, 22, 25, 36 and 54 on the matters: description of the operational procedures, implementation of the nursing process, manager accessibility, frequent improvement of care processes, respect of the rights and privacy of the patient, and nurse-validated material sterilization; possibly because they are daily practices of nurses and staff, and thus relevant to the process of assessment of the service.

The responses of some nurses, when they did not consider criteria 33, 34, 57, 59 and 69 "very important", may be explained in some institutions because these tasks are shared in the process of multidisciplinary work, independent of the direct management of nursing. The suggestions to "make this item clearer", "unify criteria 47, 48, 49, 50, 51, 53, 60 and 62" on risk management, and also that some

criteria were not relevant to process but to structure were accepted.

In the first Delphi round, while most judges (total=19) assessed the items as "important" or "very important", criterion 19 had the lowest importance, 73.4% (n=14), and dealt with the "participation, contribution and/or nurse's role in the control of psychotropic drugs in the unit, with the pharmacist", possibly because the nurse has been commonly the controller of the psychotropic drugs in service units, while considering it a shared attribution.

In the second Delphi round, 16 (84.2%) judges assessed 40 process criteria until January of 2010, when it was finished. Most reached consensus (100%) in importance, and criteria 14 (93.8%) and 23 (87.5%), despite reaching the lowest agreement among the judges, exceeded the minimum cutoff of 75% in the initially established consensus.

Criterion 40, which was added, reached unanimity, with the degree of importance being "important" and "very important" (16=100%). This result may indicate that the cost approach is relevant, which may be related to the characteristics of the competitiveness era, when the financial issue, profits and investments highlight companies, including hospitals. As experts say, despite the high prices of health, over the economic demand in general, the pressure for the use of technologies, fee-for-service, that is remuneration for service performed, is what stimulates consumption, among other factors<sup>(16)</sup>.

The diversity of nurses and judges provided an opportunity to review the criteria under various aspects and points of view regarding the scope and complexity of the hospital environment. The long instrument, initially composed of 69 criteria, required a time expenditure, writing ability of the researcher<sup>(17)</sup>, as well as effort, attentive and committed participation of the judges, in order to consider the criteria that impacted the demand for care. In this aspect, the complex and multifaceted work of nurses stands out, providing them with relevant skills for performance and critical analysis of professional practice<sup>(18)</sup>.

The criteria of safety and risk management received several criticisms and proposals for unification. The problematization and contextualization of safety were not the focus of this study, but the responsibility for safe care is relevant to all of those involved in patient care and needs further research<sup>(19-20)</sup>. This factor has driven new research in recent years<sup>(19-22)</sup> through the use of nationally validated instruments and scales, which has

enabled nurses to measure and advance the criteria of excellent quality, and maximize safety practices when delivering care.

The instrument should be applied in practice for adequacy of the criteria to the institutional needs in the light of safety, with the reliable measurement of the nursing service<sup>(5,18,23)</sup> highlighted, as education is also a bridge for the quality  $gap^{(24)}$ .

# Conclusion

This research allowed the presentation of 40 process criteria for assessment of the hospital nursing service, based on the accreditation program in Brazil. To do so, 49 nurse managers from accredited hospitals judged 69 criteria and suggested modifications. Then 16 judges validated the final instrument, composed of 40 criteria, through the Delphi Technique and reliability obtained by the Cronbach's Alpha test.

The incorporation of assessment as a systematic practice in health, and the use of an instrument composed of process criteria, can provide effective information to the nurse in the definition of intervention strategies and mold the management outcomes of the nursing care.

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Received: Sept. 5<sup>th</sup> 2012 Accepted: May 2<sup>nd</sup> 2013