

## Dynkin diagrams and spectra of graphs

**Michael A. Dokuchaev**

Departamento de Matematica Univ. de São Paulo, Caixa Postal 66281, São Paulo, SP  
05315-970 – Brazil

**Nadiya M. Gubareni**

Institute of Econometry & Computer Science, Department of Management Technical  
Univ. of Czestochowa, Dabrowskiego str., 69, 42-200 Czestochowa, Poland

**Vyacheslav M. Futorny**

Departamento de Matematica Univ. de São Paulo, Caixa Postal 66281, São Paulo, SP  
05315-970 – Brazil

**Marina A. Khibina**

In-t of Eng. Thermophysics of NAS of Ukraine, Zheljabova Str., 2A, 03057, Kiev,  
Ukraine

**Vladimir V. Kirichenko**

Faculty of Mechanics and Mathematics, Kiev National, Taras Shevchenko Univ.,  
Vladimirska Str., 64, 01033 Kiev, Ukraine

### 1. Introduction

Dynkin diagrams first appeared in [20] in the connection with classification of simple Lie groups. Among Dynkin diagrams a special role is played by the simply laced Dynkin diagrams  $A_n$ ,  $D_n$ ,  $E_6$ ,  $E_7$  and  $E_8$ . Dynkin diagrams are closely related to Coxeter graphs that appeared in geometry (see [8]). After that Dynkin diagrams appeared in many braches of mathematics and beyond, em particular em representation theory.

In [22] P. Gabriel introduced a notion of a quiver (directed graph) and its representations. He proved the famous Gabriel's theorem on representations of quivers over algebraically closed field.

Let  $Q$  be a finite quiver and  $\bar{Q}$  the undirected graph obtained from  $Q$  by deleting the orientation of all arrows.

**Theorem 1.1. (Gabriel’s Theorem).** *A connected quiver  $Q$  is of finite type if and only if the graph  $\bar{Q}$  is one of the following simply laced Dynkin diagrams:  $A_n$ ,  $D_n$ ,  $E_6$ ,  $E_7$  or  $E_8$ .*

I.N. Bernstein, I.M. Gelfand and V.A. Ponomarev [5] gave a proof of Gabriel’s Theorem using roots, Weyl groups and Coxeter functors.

The terms “tame type” and “wild type” were introduced by P. Donovan and M.R. Freislich [16]. Extended Dynkin diagrams or Euclidean diagrams are  $\tilde{A}_n$ ,  $\tilde{D}_n$ ,  $\tilde{E}_6$ ,  $\tilde{E}_7$  and  $\tilde{E}_8$  (see, for example, [2]). Tame quivers in terms of extended Dynkin diagrams were classified by L.A. Nazarova [39] and by P. Donovan–M.R. Freislich [16]. For finite dimensional algebras and some other algebraic structures the tame-wild dichotomy problem was solved by Yu.A. Drozd [17]–[19]. The theory of  $K$ -species was first considered by P. Gabriel in [23]. He obtained the characterization of  $K$ -species of finite type in a special case. His result was extended by V. Dlab and C.M. Ringel (see [14, Theorem B]).

**Theorem 1.2. (Theorem B).** *A  $K$ -species is of a finite type if and only if its diagram is a finite disjoint union of Dynkin diagrams.*

The problem of the ubiquity of the simply laced Dynkin diagrams  $A_n$ ,  $D_n$ ,  $E_n$  was formulated by V.I. Arnold [1] as follows.

**A-D-E classification.** The Coxeter-Dynkin graphs  $A_n$ ,  $D_n$  and  $E_n$  appear in many independent classification theorems. For instance

- (a) the classification of the platonic solids (or finite orthogonal groups in euclidean 3-space),
- (b) the classification of the categories of linear spaces and maps (representations of quivers),
- (c) the classification of the singularities of algebraic hypersurfaces, with a definite intersection form of the neighboring smooth fibre,
- (d) the classification of the critical points of functions having no moduli,
- (e) the classification of the Coxeter groups generated by reflections, or, of Weyl groups with roots of equal length.

The problem is to find the common origin of all A-D-E classification theorems and to substitute a priori proofs to a posteriori verifications of the parallelism of the classifications. An introduction to the A-D-E-problem can be found in [30].

Dynkin diagrams and extended Dynkin diagrams are widely used in the study of generalized Cartan matrices and Kac–Moody algebras [2]–[4], [6], [31], [35], [36], [40] and [42].

Let  $G$  be a finite graph without loops and multiple edges ( $G$  is a finite simple graph). J.H. Smith [41] formulated the following result:

**Theorem 1.3.** *Let  $G$  be a finite simple graph with the spectral radius (index)  $r_G$ . Then  $r_G = 2$  if and only if each connected component of  $G$  is one of the extended Dynkin diagram  $\tilde{A}_n, \tilde{D}_n, \tilde{E}_6, \tilde{E}_7, \tilde{E}_8$ . Moreover,  $r_G < 2$  if and only if each connected component of  $G$  is one of Dynkin diagrams  $A_n, D_n, E_6, E_7, E_8$ .*

For the full proofs of this Smith's theorem see, for example, [27, chapter I and Appendix I], [37] and [21, Theorem 2.12]. Note that Theorem 1.3 was obtained also in [33, Theorem 5.1] and [7]. In 1975 (see [11]) D.M. Cvetkovich and I. Gutman introduced for extended Dynkin diagrams of type  $\tilde{A}$  and  $\tilde{D}$  the symbols  $C_n$  and  $W_n$ . Moreover, they used the following notations:  $P_n$  for  $A_n$ ;  $Z_n$  for  $D_{n+2}$ ,  $T_1$  for  $E_6$ ,  $T_2$  for  $E_7$ ,  $T_3$  for  $E_8$ ,  $T_4$  for  $\tilde{E}_6$ ,  $T_5$  for  $\tilde{E}_7$  and  $T_6$  for  $\tilde{E}_8$ .

The following terminology is used in [12, pp. 77-79]: "Smith's graphs" means extended Dynkin diagrams and "reduced Smith's graphs" means simply laced Dynkin diagrams  $A_n, D_n, E_6, E_7, E_8$  (see also [9] and [10]).

In this paper we consider spectral properties of graphs based on Perron-Frobenius theory of non-negative matrices. We will use terminology and results from [29, Section 6.5] and [25].

## 2. Symmetric non-negative matrices

Let  $G$  be an undirected finite graph without loops and multiple edges, i.e.,  $G$  is a **finite simple graph**.

Let  $VG = \{1, \dots, n\}$  be the vertex set of  $G$  and  $EG$  be the edge set of  $G$ . Two vertices  $i$  and  $j$  are called adjacent if they are connected by an edge.

The adjacency matrix  $[G]$  of a simple graph with  $n$  vertices is a square matrix  $[G] = (\alpha_{ij})$  of order  $n$ , whose  $(i, j)$ -entry  $\alpha_{ij}$  is 1, if the vertices  $i$  and  $j$  are adjacent, otherwise  $\alpha_{ij} = 0$ . Therefore,  $[G]$  is a symmetric  $(0, 1)$ -matrix with zero main diagonal.

Denote by  $M_n(\mathbb{R})$  the ring of all  $n \times n$  matrices with real entries. Let  $A = (a_{ij}) \in M_n(\mathbb{R})$  be a non-negative symmetric permutationally irreducible matrix.

From the Perron-Frobenius Theorem it follows that  $A$  has the largest positive eigenvalue  $r_A$  such that any eigenvalue  $\lambda$  of  $A$  one has that  $|\lambda| \leq r_A$ , and there exists a positive eigenvector  $\vec{z} = (z_1, \dots, z_n)^T$  with  $A\vec{z} = r_A\vec{z}$ . We give the next.

**Theorem 2.1.** *Let  $A = (a_{ij}) \in M_n(\mathbb{R})$  be a nonnegative symmetric permutationally irreducible matrix and  $B$  be its proper main submatrix. Then  $r_B < r_A$ .*

Before the proof of the theorem we give necessary information about the properties of  $A$ .

**Lemma 2.1.** [26] *Eigenvectors of a matrix belonging to different eigenvalues are orthogonal.*

**Corollary 2.1.** *Let  $A \in M_n(\mathbb{R})$  be a permutationally irreducible symmetric matrix and  $\vec{z} = (z_1, \dots, z_n)^T$  be its positive eigenvector, then  $A\vec{z} = r_A\vec{z}$ .*

*Proof.* Suppose that  $A\vec{z} = \lambda\vec{z}$  and  $\lambda \neq r_A$ . Let  $\vec{w} = (w_1, \dots, w_n)^T$  be a positive eigenvector of  $A$  with eigenvalue  $r_A$ . Then by Lemma 2.1 the inner product  $(\vec{z}, \vec{w})$  is zero. We obtain a contradiction:

$$\sum_{i=1}^n z_i w_i > 0.$$

□

Now we give a proof of Theorem 2.1.

*Proof.* Let  $B$  be a proper principal  $m \times m$ -submatrix of  $A$ . We enumerate the rows and columns of  $A$  such that:

$$A = \left( \begin{array}{ccc|c} B_1 & \dots & 0 & X_1 \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \dots & B_t & X_t \\ \hline X_1^T & \dots & X_t^T & C \end{array} \right),$$

where  $B = \begin{pmatrix} B_1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & B_t \end{pmatrix}$  and the matrices  $B_1, \dots, B_t$  are permutationally irreducible.

We may assume that  $r_B = r_{B_1}$ ,  $B_1 \in M_{m_1}(\mathbb{R}), \dots, B_t \in M_{m_t}(\mathbb{R})$ ,  $m_1 + \dots + m_t = m$ . Then,  $C \in M_{n-m}(\mathbb{R})$  and  $X = \begin{pmatrix} X_1 \\ \vdots \\ X_t \end{pmatrix}$ , where  $X_i \in M_{m_i \times (n-m)}(\mathbb{R})$ .

The matrix  $A$  is permutationally irreducible, so  $X_1 \neq 0$ .

Let  $\vec{z} = (z_1, \dots, z_n)^T$  be the Perron-Frobenius positive eigenvector of  $A$ , i.e.,  $A\vec{z} = r_A\vec{z}$ . Denote by  $\vec{z}_s = (z_1, \dots, z_{m_1})$  the vector formed by the first  $m_1$  coordinates of  $\vec{z}$  and by  $\vec{z}_e = (z_{n-m+1}, \dots, z_n)$ .

Then we obtain:  $B_1\vec{z}_s + X_1\vec{z}_e = r_A\vec{z}_s$ . Obviously the non-negative vector  $X_1\vec{z}_e$  is nonzero (vector  $\vec{z}_e$  is positive and  $X_1 \neq 0$  and non-negative). We have  $y_i \geq 0$  for  $i = 1, \dots, m_1$ . Therefore  $y_i \leq r_A z_i$  for  $i = 1, \dots, m_1$  and there exists  $1 \leq k \leq m_1$  such that  $y_k < r_A z_k$ . Let  $\vec{f} = (f_1, \dots, f_{m_1})^T$  be a Perron-Frobenius vector of  $B_1$ , so  $B_1\vec{f} = r_B\vec{f}$ . Then  $(\vec{z}_s, B_1\vec{f}) = (\vec{z}_s, r_B\vec{f}) = r_{B_1}(\vec{z}_s, \vec{f}) = (B_1\vec{z}_s, \vec{f}) < (r_A\vec{z}_s, \vec{f}) = r_A(\vec{z}_s, \vec{f})$ , i.e.,  $r_{B_1}(\vec{z}_s, \vec{f}) < r_A(\vec{z}_s, \vec{f})$ . Then  $(\vec{z}_s, \vec{f}) > 0$  as inner product of positive vectors. Therefore  $r_B = r_{B_1} < r_A$ . Theorem is proved.  $\square$

### 3. Spectra of Dynkin diagrams and extended Dynkin diagrams

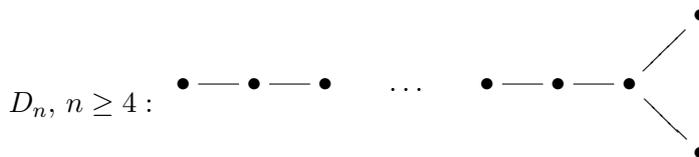
In this section we give a list of characteristic polynomials and spectra of Dynkin diagrams and of extended Dynkin diagrams.

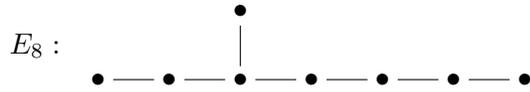
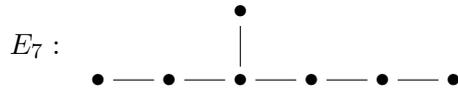
**Theorem 3.1.** (L. Kronecker, [32]) *Suppose that all the real roots of a monic polynomial with integer coefficients belong to the interval  $[-2, 2]$  and are given in the form*

$$2 \cos \alpha, 2 \cos \beta, 2 \cos \gamma, \dots$$

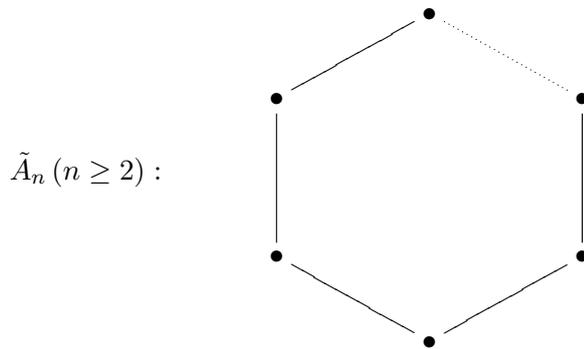
*Then the angles  $\alpha, \beta, \gamma, \dots$  are rational multiples of  $\pi/2$ .*

The following simple graphs are simply laced Dynkin diagrams:

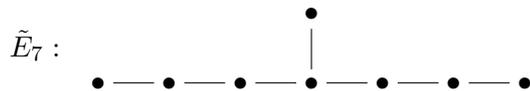
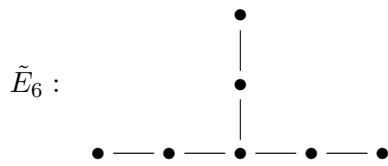
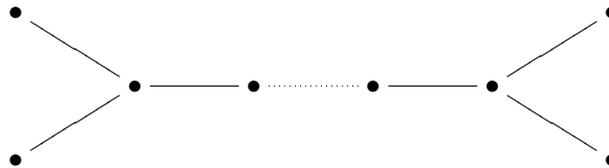


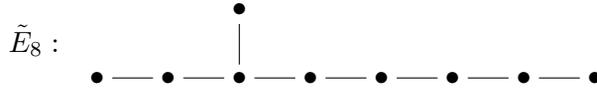


The following simple graphs are extended versions of simply laced Dynkin diagrams:



$\tilde{D}_n (n \geq 4)$ :





Often extended Dynkin diagrams are called Euclidean diagrams.

**Proposition 3.1.** *For the Dynkin diagram  $A_n$  ( $n \geq 1$ ) we have*

$$\chi_{A_n}(x) = \prod_{1 \leq k \leq n} \left( x - 2 \cos \frac{k\pi}{n+1} \right)$$

Consequently,

$$S(A_n) = \left\{ 2 \cos \frac{k\pi}{n+1} \mid k = 1, \dots, n \right\}$$

and  $r_{A_n} = 2 \cos \frac{\pi}{n+1}$ , where  $S(A_n)$  denotes the spectrum of  $A_n$ .

**Proposition 3.2.** *For the Dynkin diagram  $D_n$  ( $n \geq 4$ ) we have*

$$\chi_{D_n}(x) = x \left( \prod_{0 \leq k \leq n-2} \left( x - 2 \cos \frac{(1+2k)\pi}{2(k-1)} \right) \right).$$

Consequently,  $S(D_n)$  consists of zero and of the following set:

$$\left\{ 2 \cos \frac{(1+2k)\pi}{2(n-1)} \mid k = 0, \dots, n-2 \right\}$$

and  $r_{D_n} = 2 \cos \frac{\pi}{2(n-1)}$ .

**Proposition 3.3.** *For the Dynkin diagram  $E_6$  we have*

$$\chi_{E_6}(x) = x^6 - 5x^4 + 5x^2 - 1 = \prod_{1 \leq k \leq 6} \left( x - 2 \cos \frac{m_k\pi}{12} \right),$$

where  $m_k = 1, 4, 5, 7, 8, 11$ . Then

$$S(E_6) = \left\{ 2 \cos \frac{m_k\pi}{12} \mid m_k = 1, 4, 5, 7, 8, 11 \right\}$$

and  $r_{E_6} = 2 \cos \frac{\pi}{12}$ .

**Proposition 3.4.** *For the Dynkin diagram  $E_7$  we have*

$$\chi_{E_7}(x) = x(x^6 - 6x^4 + 9x^2 - 3) = \prod_{1 \leq k \leq 7} \left( x - 2 \cos \frac{m_k\pi}{18} \right),$$

where  $m_k = 1, 5, 7, 9, 11, 13, 17$ . Then

$$S(E_7) = \left\{ 2 \cos \frac{m_k \pi}{18} \mid m_k = 1, 5, 7, 9, 11, 13, 17 \right\}$$

and  $r_{E_7} = 2 \cos \frac{\pi}{18}$ .

**Proposition 3.5.** For the Dynkin diagram  $E_8$  we have

$$\chi_{E_8}(x) = x^8 - 7x^6 + 14x^4 - 8x^2 + 1 = \prod_{1 \leq k \leq 8} \left( x - 2 \cos \frac{m_k \pi}{30} \right),$$

where  $m_k = 1, 7, 11, 13, 17, 19, 23, 29$ . Then

$$S(E_8) = \left\{ 2 \cos \frac{m_k \pi}{30} \mid m_k = 1, 7, 11, 13, 17, 19, 23, 29 \right\}$$

and  $r_{E_8} = 2 \cos \frac{\pi}{30}$ .

**Proposition 3.6.** For the extended Dynkin diagram  $\tilde{A}_n$  ( $n \geq 2$ ) we have

$$\chi_{\tilde{A}_n}(x) = \mu^{n+1} + \mu^{-n-1} - 2 = \prod_{1 \leq k \leq n} \left( x - 2 \cos \frac{2k\pi}{n+1} \right),$$

where  $x = \mu + \frac{1}{\mu}$ . Then consequently,

$$S(\tilde{A}_n) = \left\{ 2 \cos \frac{2k\pi}{n+1} \mid k = 0, \dots, n \right\}$$

and  $r_{\tilde{A}_n} = 2$ .

**Proposition 3.7.** For the extended Dynkin diagram  $\tilde{D}_n$  ( $n \geq 4$ ) we have

$$\chi_{\tilde{D}_n}(x) = \chi_{\tilde{A}_3}(x) \chi_{n-3}(x) = x^2(x^2 - 4) \prod_{0 \leq k \leq n-3} \left( x - 2 \cos \frac{k\pi}{n-2} \right).$$

Then

$$S(\tilde{D}_n) = \left\{ 2 \cos \frac{k\pi}{n-2} \mid k = 1, \dots, n-3 \right\} \cup [-2, 0, 0, 2]$$

and  $r_{\tilde{D}_n} = 2$ .

**Proposition 3.8.** For the extended Dynkin diagrams  $\tilde{E}_6, \tilde{E}_7, \tilde{E}_8$  we have

$$\chi_{\tilde{E}_6}(x) = x(x^2 - 1)^2(x^2 - 4);$$

$$\chi_{\tilde{E}_7}(x) = x(x^2 - 1)(x^2 - 4) \prod_{1 \leq k \leq 3} (x - 2 \cos \frac{k\pi}{4});$$

$$\chi_{\tilde{E}_8}(x) = x(x^2 - 1)(x^2 - 4) \prod_{1 \leq k \leq 4} (x - 2 \cos \frac{k\pi}{5}).$$

Then

$$S(\tilde{E}_6) = [0, \pm 1, \pm 1, \pm 2] \text{ and } r_{\tilde{E}_6} = 2.$$

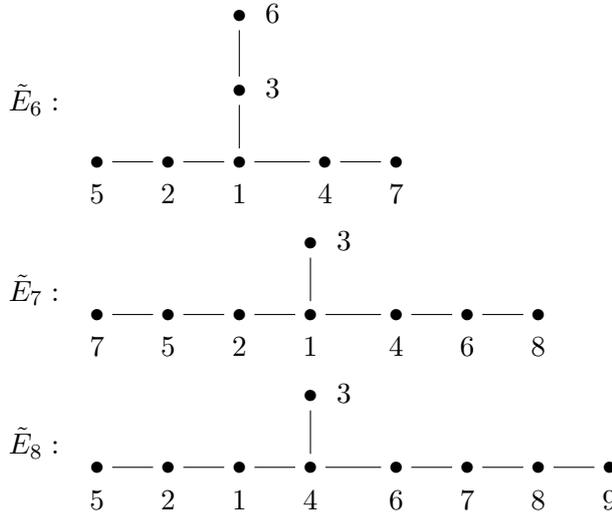
$$S(\tilde{E}_7) = \{2 \cos \frac{k\pi}{4} \mid k = 1, 2, 3\} \cup \{0, \pm 1, \pm 2\} \text{ and } r_{\tilde{E}_7} = 2.$$

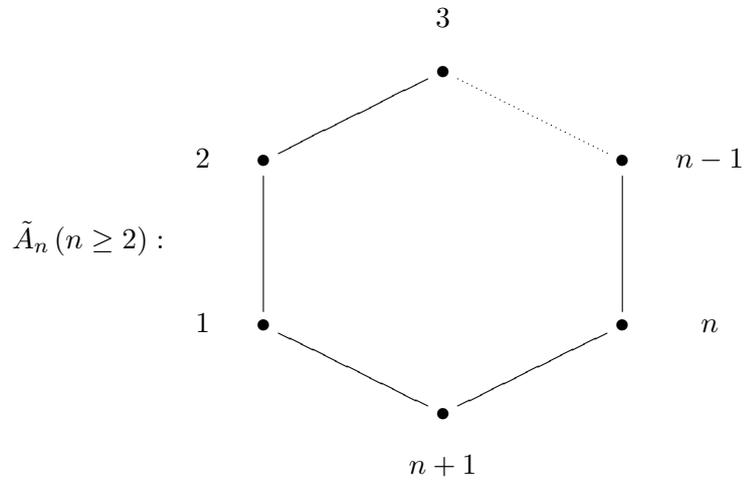
$$S(\tilde{E}_8) = \{2 \cos \frac{k\pi}{5} \mid k = 1, 2, 3, 4\} \cup \{0, \pm 1, \pm 2\} \text{ and } r_{\tilde{E}_8} = 2.$$

#### 4. Perron-Frobenius vectors of extended Dynkin diagrams

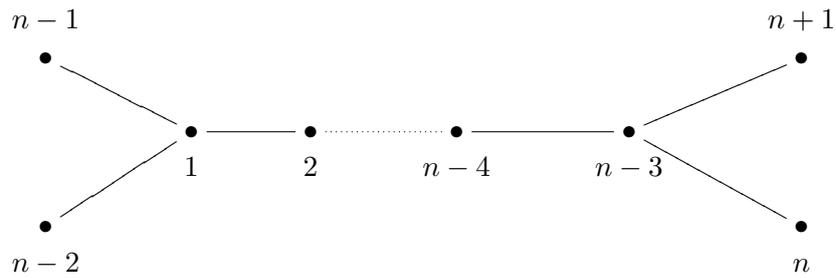
We consider simply laced extended Dynkin diagrams and its Perron-Frobenius vectors.

We give the list of these graphs with the numbering of vertices suitable for us:





$\tilde{D}_n (n \geq 4) :$



Case  $\tilde{E}_6$ .

The adjacency matrix is

$$[\tilde{E}_6] = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}$$

Let  $\vec{z} = (z_1, z_2, z_3, z_4, z_5, z_6, z_7)^T$  be a positive eigenvector of  $\tilde{E}_6$ .

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{bmatrix} = \begin{bmatrix} \lambda z_1 \\ \lambda z_2 \\ \lambda z_3 \\ \lambda z_4 \\ \lambda z_5 \\ \lambda z_6 \\ \lambda z_7 \end{bmatrix}$$

$$\left\{ \begin{array}{l} z_2 + z_3 + z_4 = \lambda z_1 \\ z_1 + z_5 = \lambda z_2 \\ z_1 + z_6 = \lambda z_3 \\ z_1 + z_7 = \lambda z_4 \\ z_2 = \lambda z_5 \\ z_3 = \lambda z_6 \\ z_4 = \lambda z_7 \end{array} \right.$$

$z_2 + z_3 + z_4 = \lambda(z_5 + z_6 + z_7) = \lambda z_1$ ;  
 $z_1 = z_5 + z_6 + z_7, 4z_1 = \lambda^2 z_1$ , i.e.,  $\lambda = 2$ .  
 $z_2 = 2z_5, z_3 = 2z_6$  and  $z_2 = z_3 = z_4 = 2, 2z_1 = 6, z_1 = 3$ .  
 We obtain  $\vec{z} = (3, 2, 2, 2, 1, 1, 1)^T$ .

Case  $\tilde{E}_7$ .

The adjacency matrix is

$$[\tilde{E}_7] = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}.$$

As above

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \\ z_8 \end{bmatrix} = \lambda \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \\ z_8 \end{bmatrix}$$

$$\left\{ \begin{array}{l} z_1 + z_3 + z_4 = \lambda z_1 \\ z_1 + z_5 = \lambda z_2 \\ z_1 = \lambda z_3 \\ z_1 + z_6 = \lambda z_4 \\ z_2 + z_7 = \lambda z_5 \\ z_4 + z_8 = \lambda z_6 \\ z_5 = \lambda z_7 \\ z_6 = \lambda z_8 \end{array} \right.$$

We have  $z_6 = \lambda z_8, z_4 = (\lambda^2 - 1)z_8, z_1 = (\lambda^3 - 2\lambda)z_8,$   
 $z_3 = (\lambda^2 - 2)z_8, z_2 = (\lambda^4 - 4\lambda^2 + 3)z_8,$   
 $z_5 = (\lambda^5 - 5\lambda^3 + 5\lambda)z_8, z_7 = (\lambda^4 - 5\lambda^2 + 5)z_8.$

Let  $z_8 = 1$ . Then from  $z_2 + z_7 = \lambda z_5$  it follows that  
 $\lambda^4 - 4\lambda^2 + 3 + \lambda^4 - 5\lambda^2 + 5 = \lambda^6 - 5\lambda^4 + 5\lambda^2$ , i.e.,  
 $\lambda^6 - 7\lambda^4 + 14\lambda^2 - 8 = 0.$

Obviously,  $2^6 - 7 \cdot 2^4 + 14 \cdot 2^2 - 2^3 = 2^2(16 - 28 + 14 - 2) = 0$ , i.e.,  $\lambda = 2$  is a root. Therefore  $\vec{z} = (4, 3, 2, 3, 2, 2, 1, 1)^T$ .

Case  $\tilde{E}_8$ .

The adjacency matrix is

$$[\tilde{E}_8] = \begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}.$$

As above

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \\ z_8 \\ z_9 \end{bmatrix} = \lambda \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \\ z_8 \\ z_9 \end{bmatrix}$$

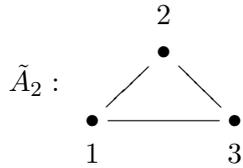
$$\left\{ \begin{array}{l} z_2 + z_3 + z_4 = \lambda z_1 \\ \phantom{z_2} z_1 + z_5 = \lambda z_2 \\ \phantom{z_2} \phantom{z_1} z_1 = \lambda z_3 \\ \phantom{z_2} z_1 + z_6 = \lambda z_4 \\ \phantom{z_2} \phantom{z_1} z_2 = \lambda z_5 \\ \phantom{z_2} z_4 + z_7 = \lambda z_6 \\ \phantom{z_2} z_6 + z_8 = \lambda z_7 \\ \phantom{z_2} z_7 + z_9 = \lambda z_8 \\ \phantom{z_2} \phantom{z_7} z_8 = \lambda z_9 \end{array} \right.$$

We have  $z_8 = \lambda z_9$ ,  $z_7 = (\lambda^2 - 1)z_9$ ,  $z_6 = (\lambda^3 - 2\lambda)z_9$ ,  
 $z_4 = (\lambda^4 - 3\lambda^2 + 1)z_9$ ,  $z_1 = (\lambda^5 - 4\lambda^3 + 3\lambda)z_9$ ,  
 $z_3 = (\lambda^4 - 4\lambda^2 + 3)z_9$ ,  $z_2 = (\lambda^6 - 6\lambda^4 + 10\lambda^2 - 4)z_9$ ,  
 $z_5 = 9\lambda^7 - 7\lambda^5 = 14\lambda^3 - 7\lambda)z_9$ .

Let  $z_9 = 1$ . From  $z_2 = \lambda z_5$  we obtain

$\lambda^6 - 6\lambda^4 + 10\lambda^2 - 4 = \lambda^8 - 7\lambda^6 + 14\lambda^4 - 7\lambda^2$ , i.e.,  $\lambda^8 - 8\lambda^6 + 20\lambda^4 - 17\lambda^2 + 4 = 0$ . Obviously,  $2^8 + 8 \cdot 2^6 + 20 \cdot 2^4 - 17 \cdot 2^2 + 4 = 4(2^6 - 2^7 + 20 \cdot 4 - 16) = 4(64 - 128 + 80 - 16) = 0$ , i.e., 2 is a root. Then  $\vec{z} = (6, 4, 3, 5, 2, 4, 3, 2, 1)^T$ .

Case  $\tilde{A}_n$ , ( $n \geq 2$ ).

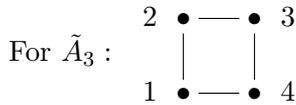


The adjacency matrix

$$[\tilde{A}_2] = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

and

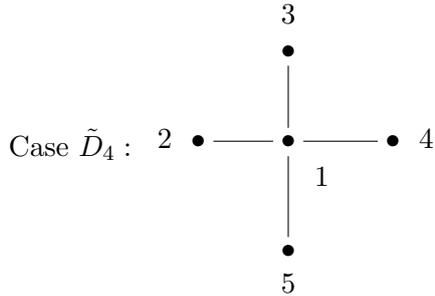
$$[\tilde{A}_2] \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = 2 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}. \text{ Therefore, } r_{\tilde{A}_2} = 2.$$



the adjacency matrix is  $[\tilde{A}_3] = \begin{bmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$  and  $[\tilde{A}_3] \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} = 2 \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}$ .

Therefore,  $r_{\tilde{A}_3} = 2$ .

In general case, obviously,  $[\tilde{A}_n]\vec{z} = 2\vec{z}$ ,  $\vec{z} = (1, \dots, 1)^T$  and  $r_{\tilde{A}_n} = 2$ .



Clearly, the adjacency matrix of  $\tilde{D}_4$  is:

$$[\tilde{D}_4] = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix} \text{ and}$$

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \end{pmatrix} = \lambda \begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \end{pmatrix}.$$

Therefore,

$$z_2 + z_3 + z_4 + z_5 = \lambda z_1;$$

$$z_1 = \lambda z_2;$$

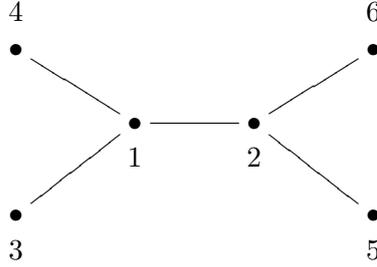
$$z_1 = \lambda z_3;$$

$$z_1 = \lambda z_4;$$

$$z_1 = \lambda z_5.$$

If  $\lambda \leq 0$ , then  $\vec{z}$  is a non-positive eigenvector. So,  $\lambda > 0$  and  $z_2 = z_3 = z_4 = z_5$ . Let  $z_5 = 1$ . We obtain  $z_1 = \lambda$  and  $\lambda^2 = 4$ . Thus,  $\lambda = 2$  and  $\vec{z} = (2, 1, 1, 1, 1)$ . We have  $r_{\tilde{D}_4} = 2$ .

For  $\tilde{D}_5$  :

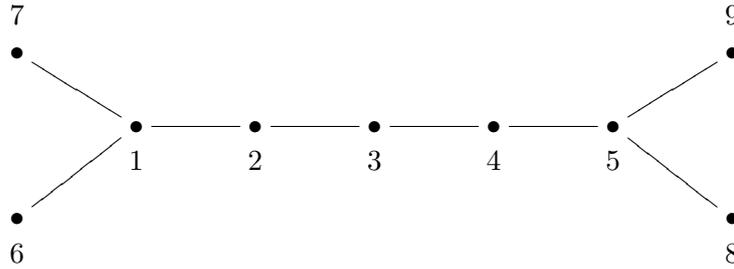


and  $[\tilde{D}_5]\vec{z} = \lambda\vec{z}$ , where  $\vec{z} = (z_1, z_2, z_3, z_4, z_5, z_6)^T$ . We have  $z_5 = z_6$  and  $z_3 = z_4$ .  $z_1 = \lambda z_3$ ,  $z_2 = (\lambda^2 - 2)z_3$ ,  $z_5 = \frac{\lambda^3 - 3\lambda}{2}z_3$ .

Let  $z_3 = 1$ . Then  $\vec{z} = (\lambda, \lambda^2 - 2, 1, 1, \frac{\lambda^3 - 3\lambda}{2}, \frac{\lambda^3 - 3\lambda}{2})^T$ . From  $z_2 = \lambda z_5$  we obtain:  $\lambda^2 - 2 = \frac{\lambda^4 - 3\lambda^2}{2}$  and  $\lambda^4 - 5\lambda^2 + 4 = 0$ .  $2^4 - 5 \cdot 4 + 4 = 0$ . So, 2 is a root and

$\vec{z} = (2, 2, 1, 1, 1, 1)^T$ . Therefore,  $r_{\tilde{D}_5} = 2$ .

Consider  $\tilde{D}_8$  :

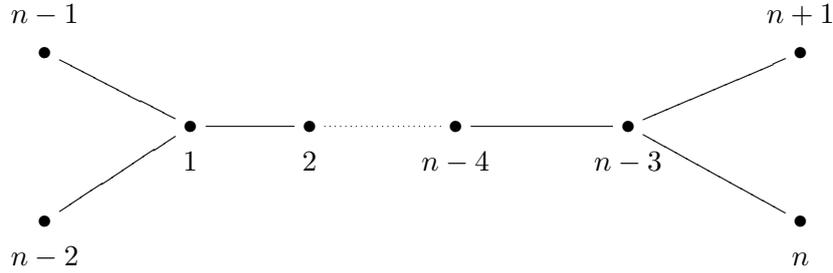


We have

$$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} = 2 \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \\ 2 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}.$$

Therefore,  $r_{\tilde{D}_8} = 2$ .

Consider the general case  $\tilde{D}_n$ :



1	0	1	0	0	...	0	1	1	0	0
2	1	0	1	0	...	0	0	0	0	0
3	0	1	0	1	...	0	0	0	0	0
4	0	0	1	0	...	0	0	0	0	0
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
n-3	0	0	0	0	...	0	0	0	1	1
n-2	1	0	0	0	...	0	0	0	0	0
n-1	1	0	0	0	...	0	0	0	0	0
n	0	0	0	0	...	1	0	0	0	0
n+1	0	0	0	0	...	1	0	0	0	0
	1	2	3	4	...	n-3	n-2	n-1	n	n+1

$$= 2 \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \\ \vdots \\ 2 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} = 2 \begin{bmatrix} 2 \\ 2 \\ 2 \\ 2 \\ \vdots \\ 2 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

Thus,  $r_{\tilde{D}_n} = 2$ .

**Corollary 4.1.**

- (a) For each extended Dynkin diagram  $G \in \{\tilde{A}_n, \tilde{D}_n, \tilde{E}_6, \tilde{E}_7, \tilde{E}_8\}$   $r_G = 2$ .
- (b) For each Dynkin diagram  $G \in \{A_n, D_n, E_6, E_7, E_8\}$  we have  $r_G < 2$ .

*Proof.* (a) For any extended Dynkin diagram  $G$  we already gave a positive eigenvector with eigenvalue 2. Therefore,  $r_G = 2$ .

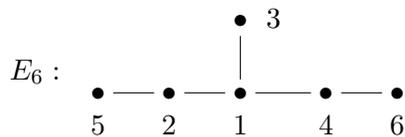
(b) We have the following inclusions:  $A_n \subset \tilde{A}_n, D_n \subset \tilde{D}_n, E_6 \subset \tilde{E}_6, E_7 \subset \tilde{E}_7, E_8 \subset \tilde{E}_8$ . By Theorem 2.1  $r_G < 2$  for any  $G \in \{A_n, D_n, E_6, E_7, E_8\}$ . □

*Proof of Smith’s theorem.* Corollary 4.1 gives the “if” part of Smith’s theorem.

Conversely, let  $G$  be a connected finite simple graph with  $r_G \leq 2$ . If  $G$  is not a tree, then  $G$  must be the extended Dynkin diagram  $\tilde{A}_n$ . So,  $G$  is a tree. It is easy to see  $G$  must be a tree of the form  $T_{p,q,r}$  (see [31, Exercise 4.3]). Using Theorem 2.1 we obtain that  $T_{p,q,r}$  is either one of simply laced Dynkin diagrams or one of simply laced extended Dynkin diagrams.

### 5. Some examples

Let  $E_6$  be given in the form:



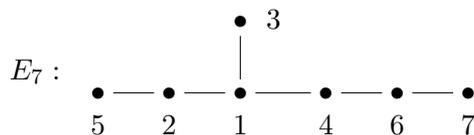
Then

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \end{pmatrix} = \lambda \begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \end{pmatrix}$$

$$\begin{aligned} z_2 + z_3 + z_4 &= \lambda z_1 \\ z_1 + z_5 &= \lambda z_2 \\ z_1 &= \lambda z_3 \\ z_1 + z_6 &= \lambda z_4 \\ z_2 &= \lambda z_5 \\ z_4 &= \lambda z_6 \end{aligned}$$

Let  $z_6 = 1$ . Then  $z_4 = \lambda$ ,  $z_1 = \lambda^2 - 1$  and  $z_3 = \frac{\lambda^2 - 1}{\lambda}$ . Obviously,  $z_2 = \frac{\lambda^4 - 3\lambda^2 + 1}{\lambda}$ . Therefore,  $z_5 = \frac{\lambda^4 - 3\lambda^2 + 1}{\lambda^2}$ . On the other hand,  $z_5 = \lambda z_2 - z_1 = \lambda^4 - 4\lambda^2 + 2$ . Consequently,  $\frac{\lambda^4 - 3\lambda^2 + 1}{\lambda^2} = \lambda^4 - 4\lambda^2 + 2$ . We obtain that  $\lambda^6 - 5\lambda^4 + 5\lambda^2 - 1 = 0$ .

Let  $E_7$  be given as follows:



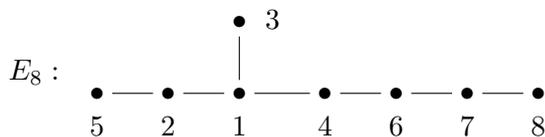
Then

$$\begin{bmatrix} 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{pmatrix} = \lambda \begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{pmatrix}$$

$$\begin{aligned}
 z_2 + z_3 + z_4 &= \lambda z_1 \\
 z_1 + z_5 &= \lambda z_2 \\
 z_1 &= \lambda z_3 \\
 z_1 + z_6 &= \lambda z_4 \\
 z_2 &= \lambda z_5 \\
 z_4 + z_7 &= \lambda z_6 \\
 z_6 &= \lambda z_7
 \end{aligned}$$

Let  $z_7 = 1$  and  $z_6 = \lambda$ . Then  $z_4 = \lambda z_6 - z_7$ . We obtain  $z_4 = \lambda^2 - 1$ . Therefore,  $z_1 = \lambda^3 - 2\lambda$ . Obviously,  $z_3 = \lambda^2 - 2$ . We have  $z_2 = \lambda z_1 - z_3 - z_4$  and  $z_2 = \lambda^4 - 4\lambda^2 + 3$ . From the equality  $z_2 = \lambda z_5$  it follows that  $z_5 = \frac{\lambda^4 - 4\lambda^2 + 3}{\lambda}$ . On the other hand,  $z_5 = \lambda z_2 - z_1 = \lambda^5 - 5\lambda^3 + 5\lambda$ . So,  $\frac{\lambda^4 - 4\lambda^2 + 3}{\lambda} = \lambda^5 - 5\lambda^3 + 5\lambda$  and  $\lambda^6 - 6\lambda^4 + 9\lambda^2 - 3 = 0$ .

Let  $E_8$  be given in the following form:



Then

$$\begin{bmatrix}
 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\
 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\
 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\
 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \\
 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0
 \end{bmatrix}
 \begin{pmatrix}
 z_1 \\
 z_2 \\
 z_3 \\
 z_4 \\
 z_5 \\
 z_6 \\
 z_7 \\
 z_8
 \end{pmatrix}
 = \lambda
 \begin{pmatrix}
 z_1 \\
 z_2 \\
 z_3 \\
 z_4 \\
 z_5 \\
 z_6 \\
 z_7 \\
 z_8
 \end{pmatrix}$$

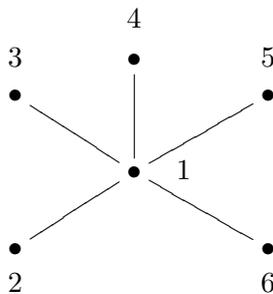
We obtain the following system of linear equations

$$\begin{aligned}
 z_2 + z_3 + z_4 &= \lambda z_1 \\
 z_1 + z_5 &= \lambda z_2 \\
 z_1 &= \lambda z_3 \\
 z_1 + z_6 &= \lambda z_4 \\
 z_2 &= \lambda z_5 \\
 z_4 + z_7 &= \lambda z_6 \\
 z_6 + z_8 &= \lambda z_7 \\
 z_7 &= \lambda z_8
 \end{aligned}$$

Let  $z_8 = 1$ . Then  $z_7 = \lambda$  and  $z_6 = \lambda^2 - 1$ . Obviously, we have:  $z_4 = \lambda^3 - 2\lambda$ ,  $z_1 = \lambda^4 - 3\lambda^2 + 1$ ,  $z_3 = \frac{\lambda^4 - 3\lambda^2 + 1}{\lambda}$ ,  $z_2 = \frac{\lambda^6 - 5\lambda^4 + 6\lambda^2 - 1}{\lambda}$  and  $z_5 = \frac{\lambda^6 - 5\lambda^4 + 6\lambda^2 - 1}{\lambda}$ .

Hence,  $z_5 = \lambda z_2 - z_1 = \lambda^6 - 6\lambda^4 + 9\lambda^2 - 2$ . Consequently,  $\lambda^6 - 6\lambda^4 + 9\lambda^2 - 2 = \frac{\lambda^6 - 5\lambda^4 + 6\lambda^2 - 1}{\lambda^2}$  and  $\lambda^8 - 7\lambda^6 + 14\lambda^4 - 8\lambda^2 + 1 = 0$

In conclusion we consider the following simple graph  $G_5$ :



with the adjacency matrix  $[G_5]$ :

$$\begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

We have

$$[G_5]\vec{z} = \lambda\vec{z}, \tag{1}$$

where  $\vec{z} = (z_1, z_2, z_3, z_4, z_5, z_6)^T$ . From 1 we obtain

$$\begin{aligned} z_2 + z_3 + z_4 + z_5 + z_6 &= \lambda z_1 \\ z_1 &= \lambda z_2 \\ z_1 &= \lambda z_3 \\ z_1 &= \lambda z_4 \\ z_1 &= \lambda z_5 \\ z_1 &= \lambda z_6 \end{aligned}$$

Consequently,  $5z_1 = \lambda(z_2 + z_3 + z_4 + z_5 + z_6) = \lambda^2 z_1$ . Since,  $z_1 \neq 0$ , we obtain  $\lambda = \sqrt{5}$  and  $\vec{z} = (\sqrt{5}, 1, 1, 1, 1, 1)$  and  $r_{G_5} = \sqrt{5} > 2$ .

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